



INFRARED SIGNATURES OF INTERACTIONS BETWEEN MOLECULES AND FREE CHARGE CARRIERS IN NANOSTRUCTURES

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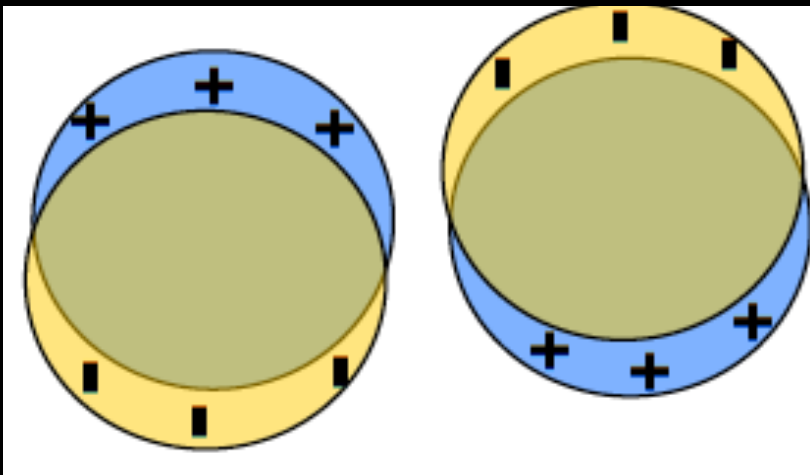
CONTENTS

- Infrared plasmonics - introduction
- Surface enhanced infrared absorption (SEIRA) with plasmonic resonances of bulky particles and apertures
- Adsorbate induced changes of one-dimensional plasmons

LOCALIZED SURFACE PLASMON POLARITONS

The free electron gas in a particle has some similarities to water in a swimming pool: In plasmonics we consider the electron gas as incompressible. External fields can excite waves (pressure waves / em waves). Boundaries determine standing wave pattern.

Dipole-like excitations as oscillation of the free electron gas in a container.



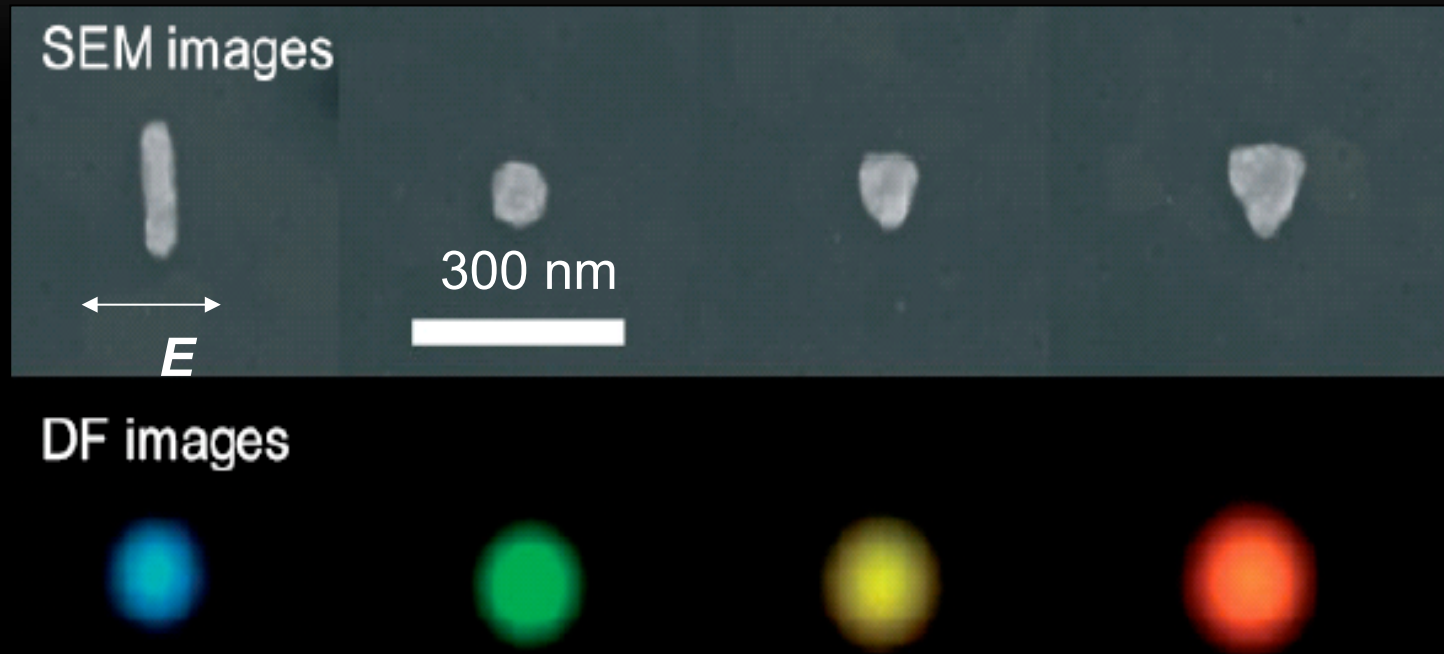
The fundamental (lowest order) excitation.



Mexico , 19.04.2014

www.youtube.com

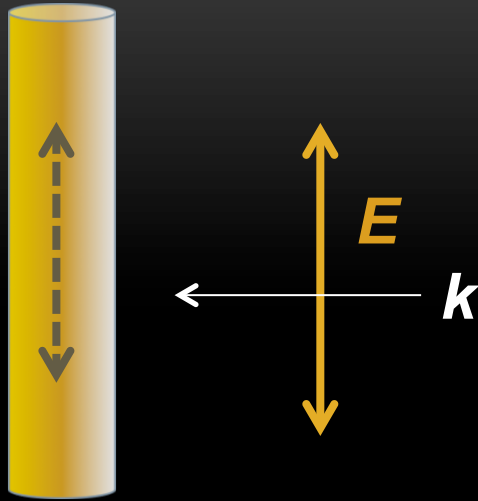
LSPP AND PARTICLE SHAPE



Gold nanoparticles (30 nm height) on glass, dark field mode of the optical microscope

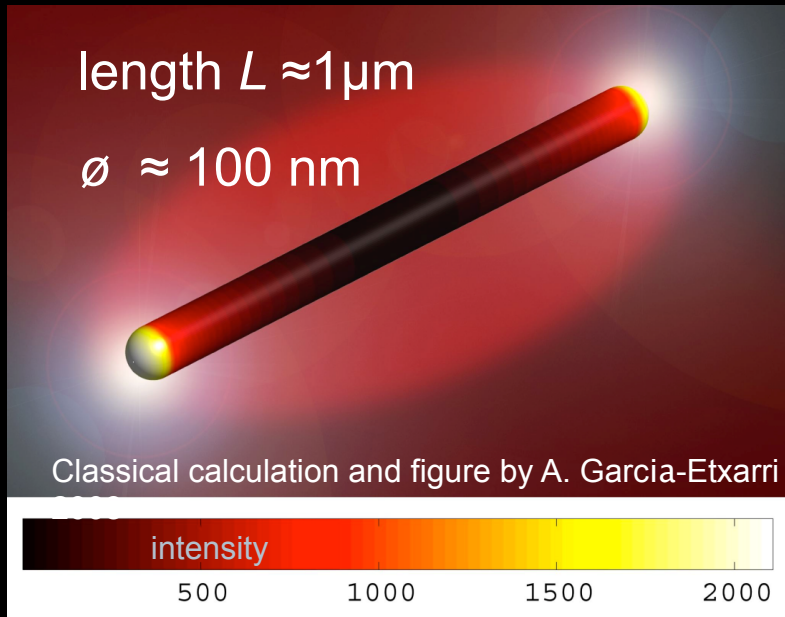
Murray, W. and Barnes, W. (2007), Plasmonic Materials. *Advanced Materials*, 19: 3771–3782

LSPP of nanowires



Excitation of a plasmonic dipole oscillation by light polarized parallel to the wire. Standing waves of longitudinal charge oscillation can be excited.

Because $L \approx \lambda$, light scattering is important!



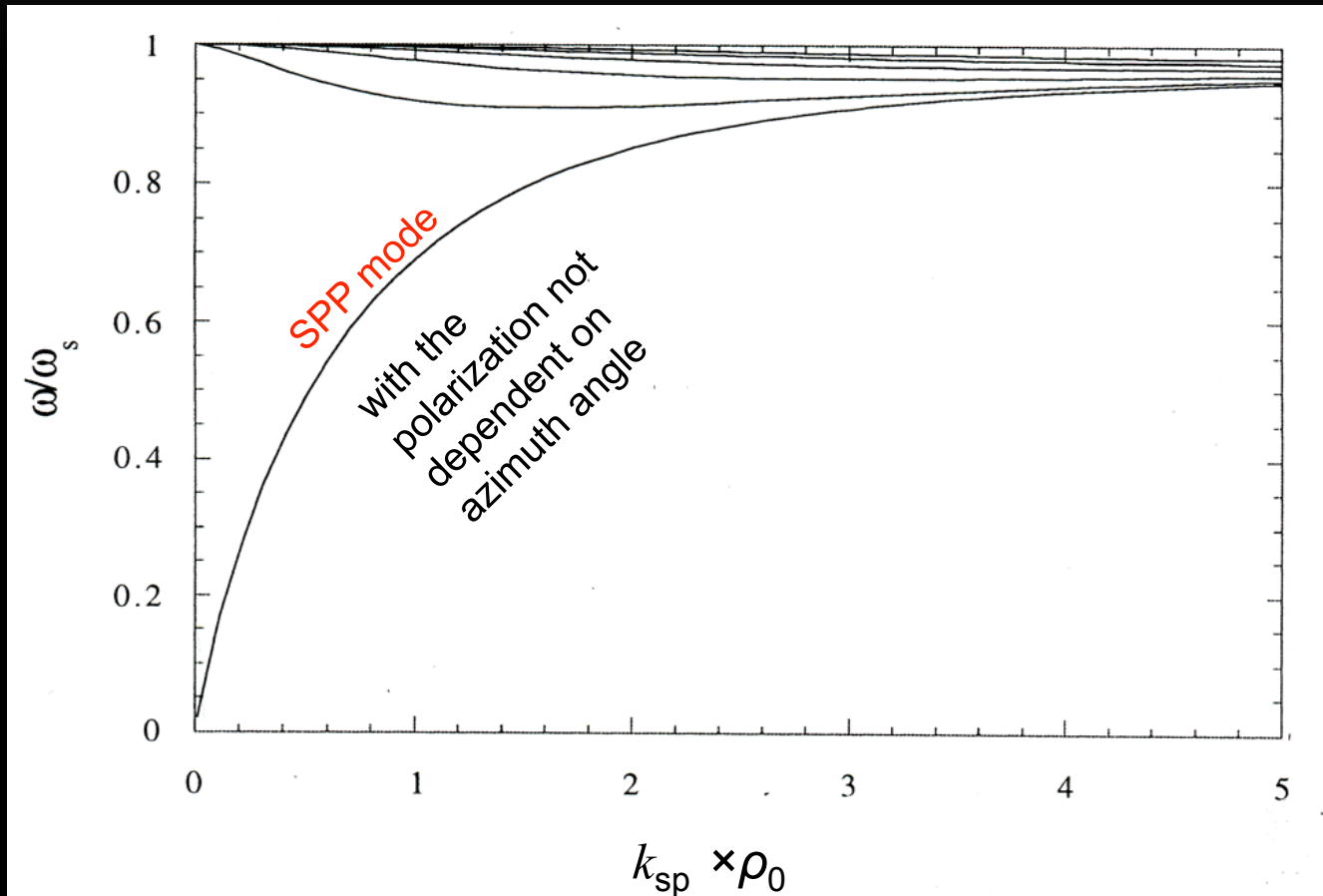
Strong nearfield-intensity enhancement at the nanowire apex

F. Neubrech et al., Phys. Rev. Lett. 101, 157403 (2008)

Plasmon polaritons on a metal cylinder

plot for electrostatic limit ($c \rightarrow \infty$)

diameter ρ_0

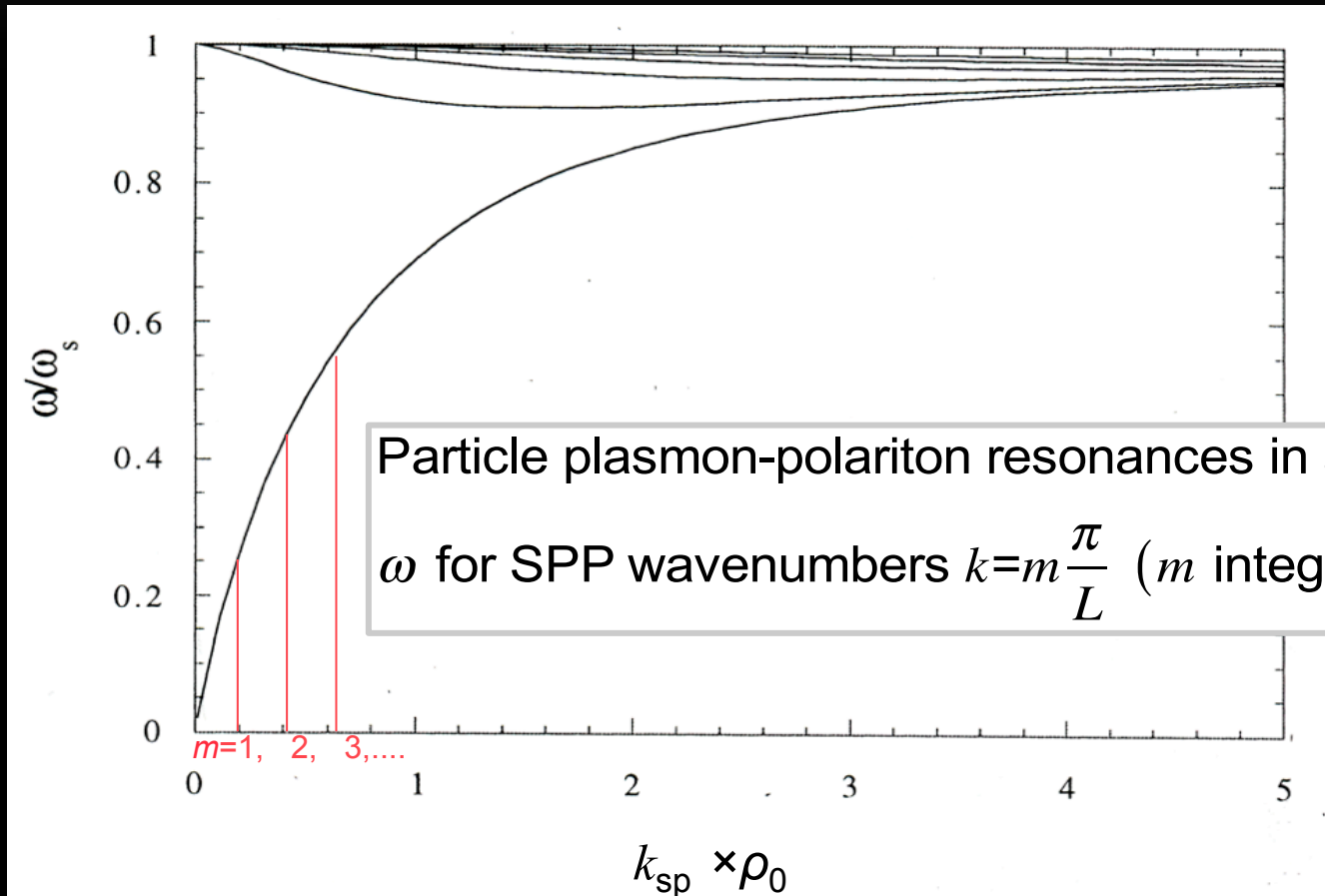


B. E. Sernelius „Surface modes in physics“,
Wiley VCH 2001.

Standing wave -plasmon polaritons on a finite metal cylinder

plot for electrostatic limit

diameter ρ_0



B. E. Sernelius „Surface modes in physics“,
Wiley VCH 2001.

Charge oscillation modes

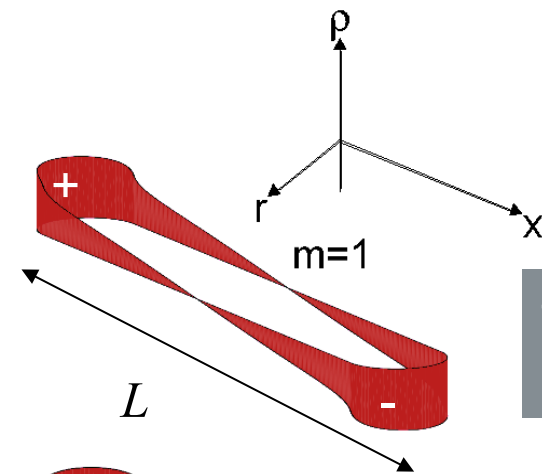
Examples of surface charge (ρ) oscillation patterns of modes in a nanorod with long axis in x -direction.

(J. Aizpurua, et al., Phys. Rev B 2005)

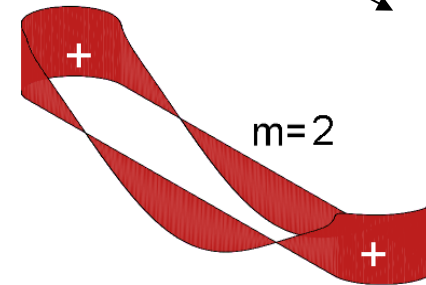
Standing waves of longitudinal charge oscillation $\omega(q)$ are formed in the particle's penetration depth, for $m=1$, SPP wavelength $\lambda_{\text{LSPP}} = 2L$.

$$\omega_{\text{res}} \approx C \cdot \frac{\pi}{L} \text{ (linear range of dispersion),}$$

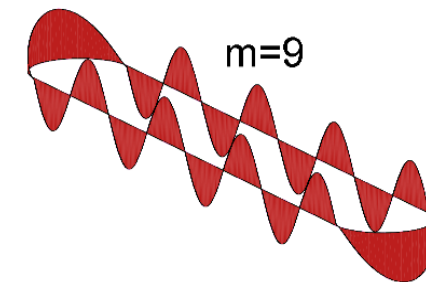
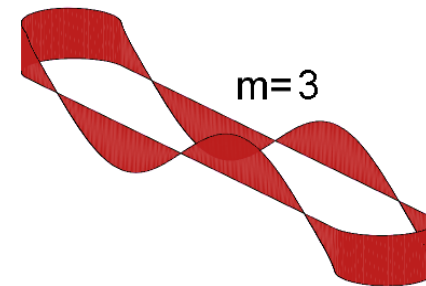
$$\text{LSPP wavenumber } q_{\text{LSPP}} = \frac{\pi}{L} m$$



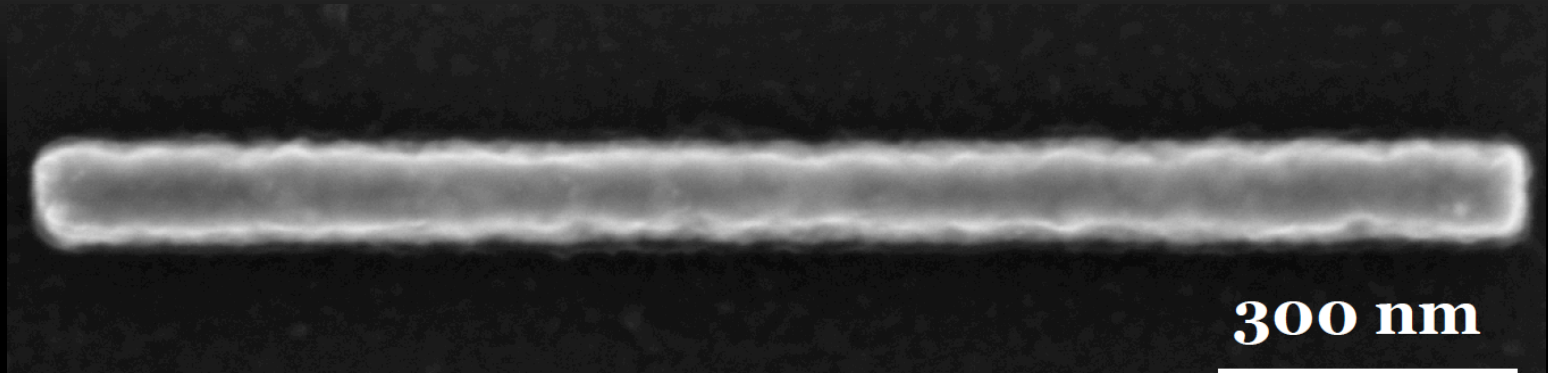
fundamental antenna mode



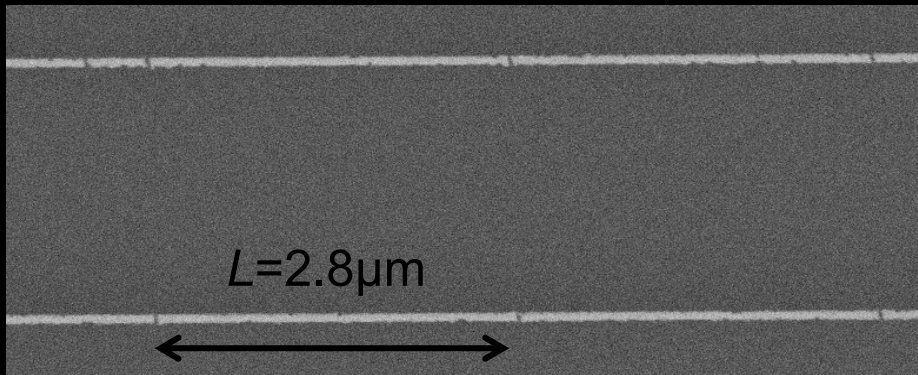
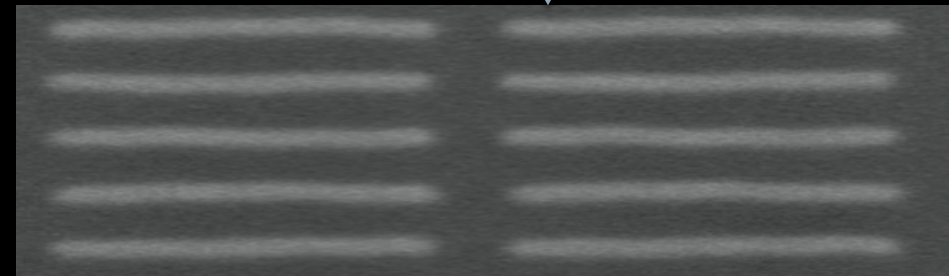
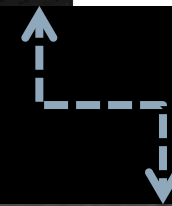
no net dipole



LITHOGRAPHIC GOLD NANOWIRES



SEM of a typical electron-lithographically (EBL) produced gold antenna (on silicon wafer with natural oxide, 10 nm Ti adhesion layer between gold and silicon oxide).

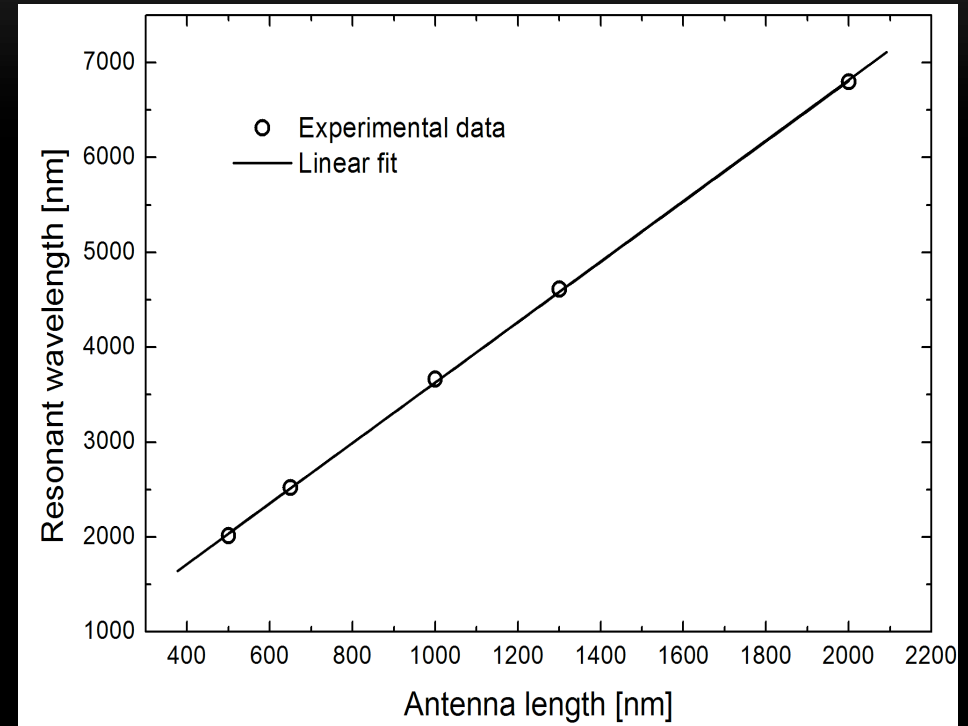
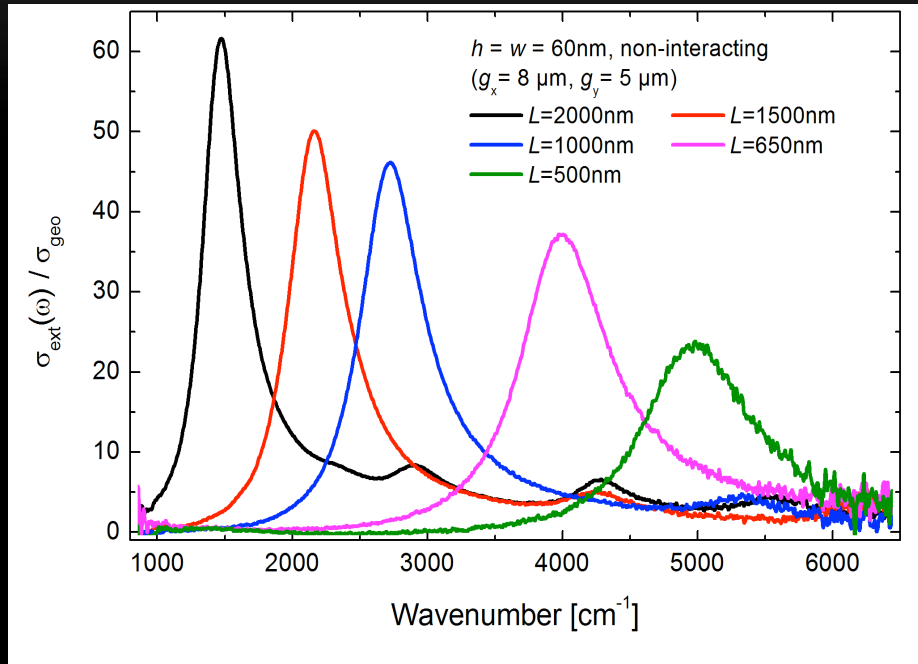


Array of gold antennas on CaF_2 (2014)

[A. Hasenkampf et al., Optics Express 23, 5670 \(2015\).](#)

EXTINCTION CROSS SECTIONS

$$\sigma_{\text{ext}} = \sigma_{\text{sca}} + \sigma_{\text{abs}}$$



Experimental extinction cross-section (normalized to the geometrical one) of gold nanoantennas with different lengths L on a CaF₂ substrate, normal incidence of light with an electrical field vector parallel to the long antenna axis. [D. Weber et al., Opt. Mater. Express 1,1301 \(2011\)](#)

Resonance photon wavelength λ_{res} as extracted from the spectra shown left. The linear relation $\lambda_{\text{res}} = 3.178 L [\text{nm}] + 451\text{nm}$ gives a perfect fit to the data.

RELATIVE IR TRANSMITTANCE* SPECTROSCOPY - SURFACE SENSITIVITY BY CAREFUL REFERENCING

- transmittance at normal incidence
- ultrathin films, nano-particles
- transparent substrate

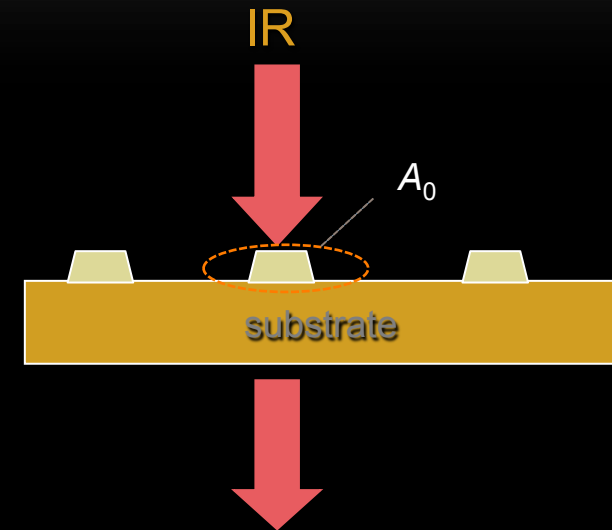
$$d \ll \lambda / n_{\text{film}}$$

relative transmittance

$$T_{\text{rel}} = \frac{T_{\text{film/substrate}}}{T_{\text{substrate}}} \approx 1 - \frac{2 \cdot d \cdot \omega \cdot \text{Im}\epsilon_{\parallel \text{film}}(\omega)}{c \cdot (1 + n_{\text{substrate}})}$$

Extinction cross-section σ_{ext}
of a nanoparticle

Conductivity measurement without
electrical contacts or
 $\text{Im}\epsilon_{\text{eff}}(\omega)$ for island films

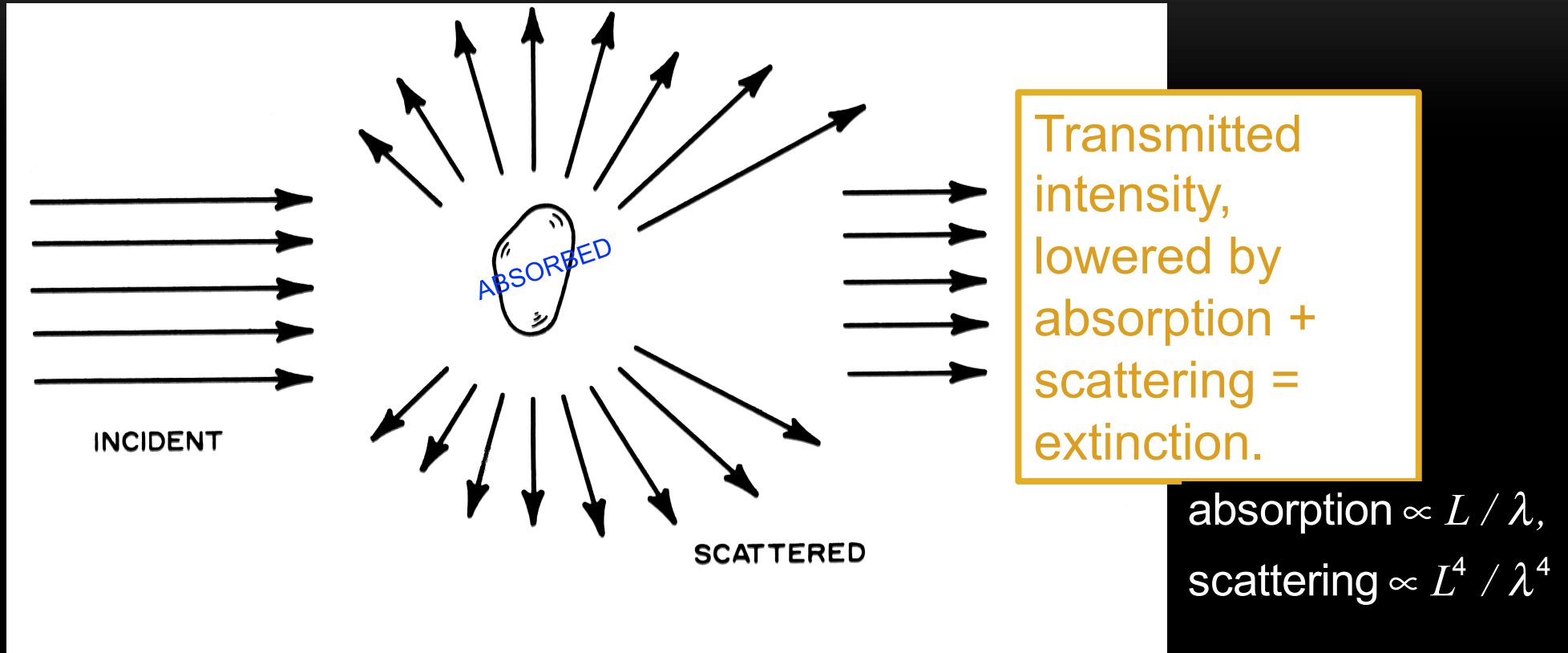


- For single nanoparticles on a substrate in the focal area A_f :

$$\sigma_{\text{ext}} = A_0 (1 - T_{\text{rel}}) \cdot (n_{\text{substrate}} + 1) / 2n_{\text{eff}}$$

* analogous approximations
and referencing for other
measurement geometries

EXTINCTION



after C.F. Bohren and D.R. Huffman, "Absorption and Scattering by Small Particles", Wiley & Sons 1983

QUASISTATIC DESCRIPTION WITH RADIATION-DAMPING CORRECTION FOR A DRUDE-TYPE METAL

J. Vogt et al., Encyclopedia of Nanotechnology 2015, DOI 10.1007/978-94-007-6178-0_100977-1, T. Neuman, C. Huck, et al., J. Phys. Chem. C 119, 26652 (2015).

$$\varepsilon(\omega) = \varepsilon_{\infty} - \frac{\omega_p^2}{\omega(\omega + i\omega_{\tau})}$$

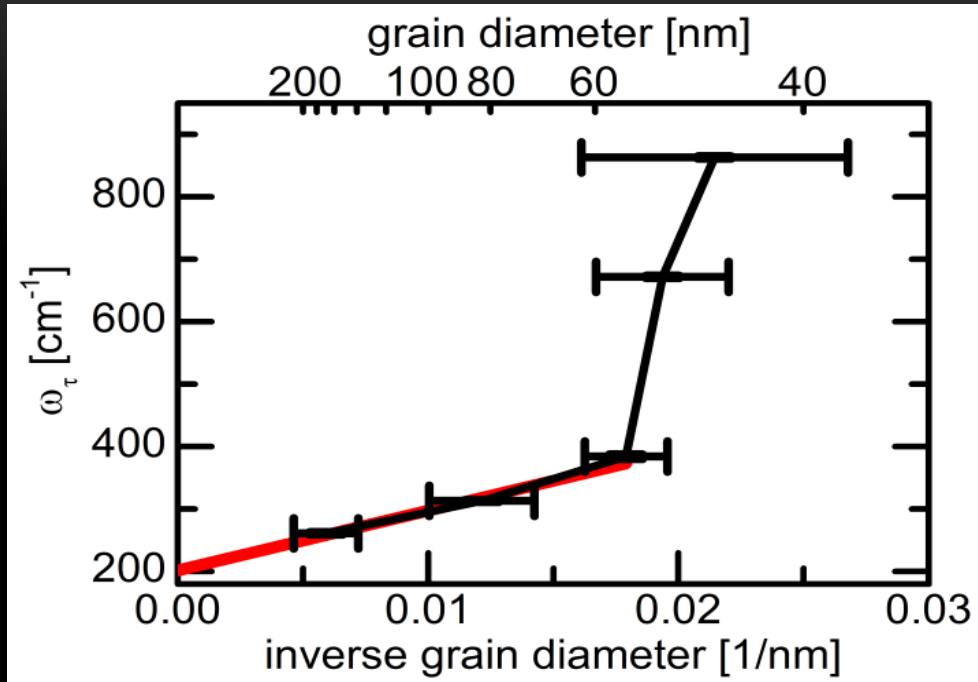
$$\sigma_{\text{sca}} = \omega_p^2 \frac{VR}{n_{\text{host}} c} \frac{\omega^4 T_L}{\left[(\omega_{\text{res}}^2 - \omega^2)^2 + \omega^2 (\omega_{\tau} + \omega^2 T_L)^2 \right]}$$

$$\sigma_{\text{abs}} = \omega_p^2 \frac{VR}{n_{\text{host}} c} \frac{\omega^2 \omega_{\tau}}{\left[(\omega_{\text{res}}^2 - \omega^2)^2 + \omega^2 (\omega_{\tau} + \omega^2 T_L)^2 \right]}$$

Larmor time parameter $T_L = VRn_{\text{host}} \omega_p^2 / (6\pi c^3)$,
local field ratio R .*

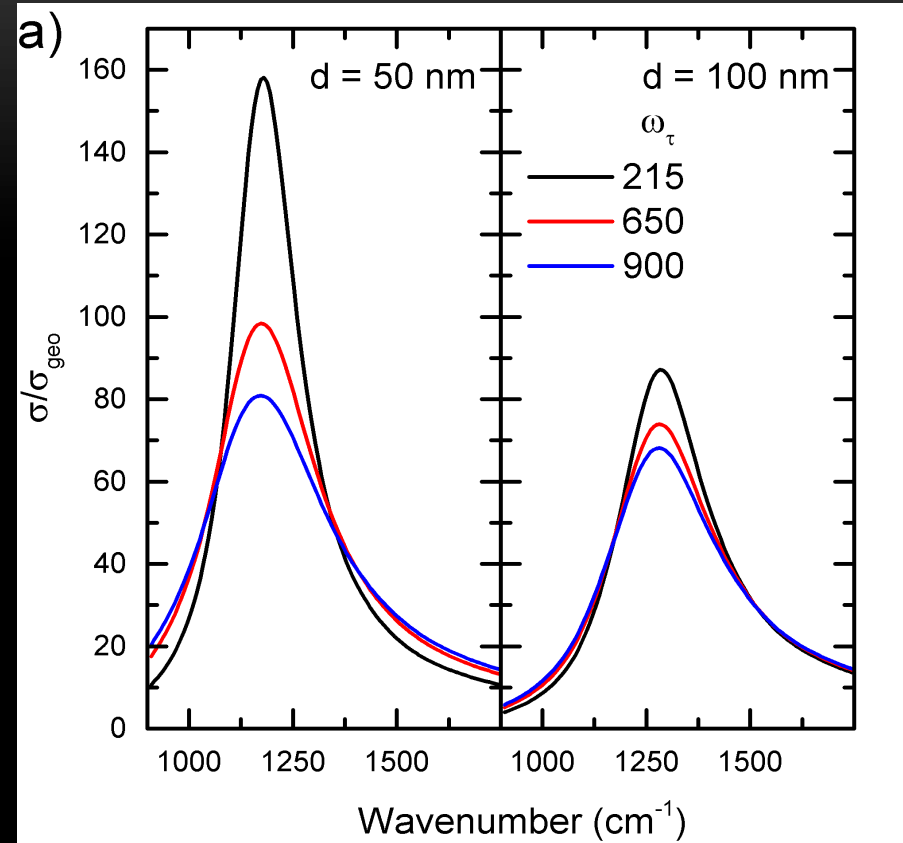
*W. T. Doyle, J. Opt. Soc. Am. A. 2, 1031(1985)

GOLD IN THE IR, VARIOUS ELECTRONIC SCATTERING



Scattering rates ω_τ for various gold films from IR ellipsometry plotted versus the inverse diameter of grains on the surface (from AFM).

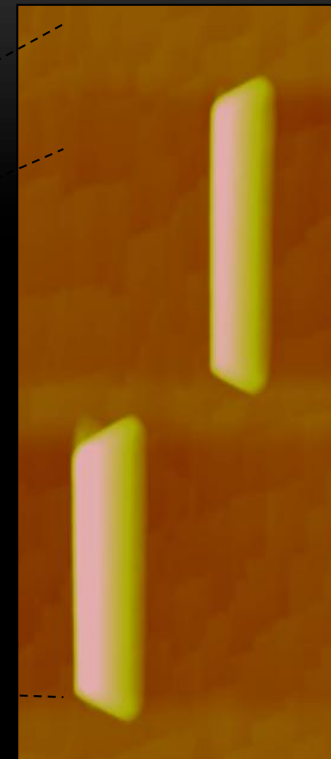
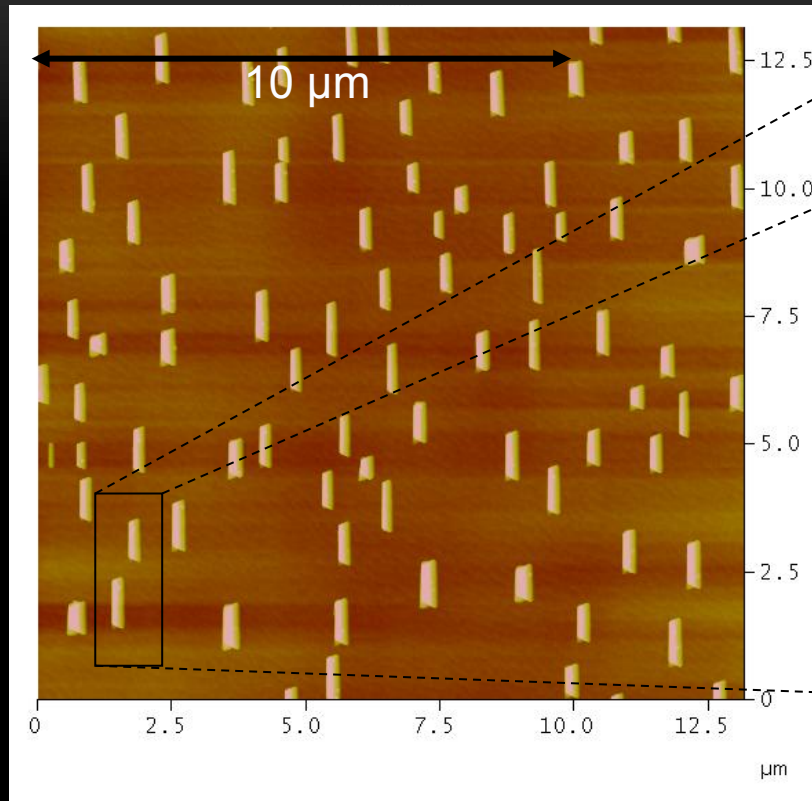
J. Trollmann and A. Pucci, *J. Phys. Chem. C* 118, 15011 (2014).



FDTD simulations of extinction for various electronic scattering rates

T. Neuman, C. Huck, et al., *J. Phys. Chem. C* 119, 26652 (2015).

Example: Pb nanorods on Si(557) - Morphology by AFM



Growth at RT,
10 ML Pb

single-crystalline nanowires with aspect ratio > 3

(Morphology controlled by substrate quality, temperature, Pb flux)

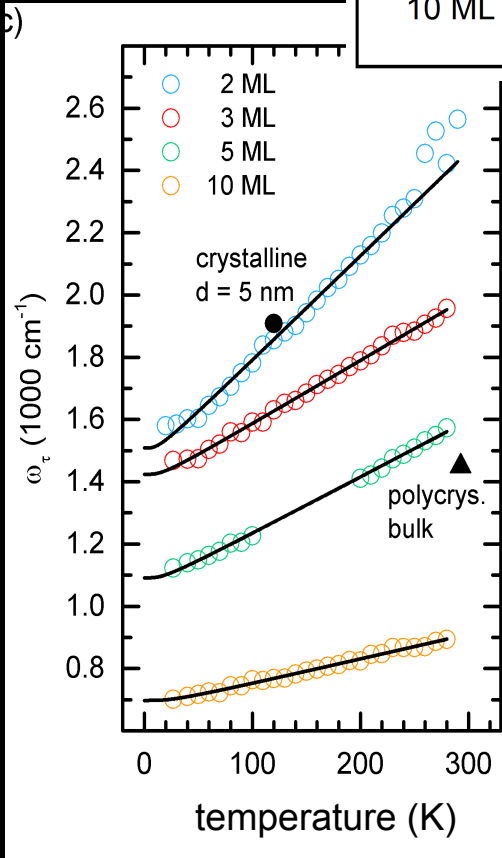
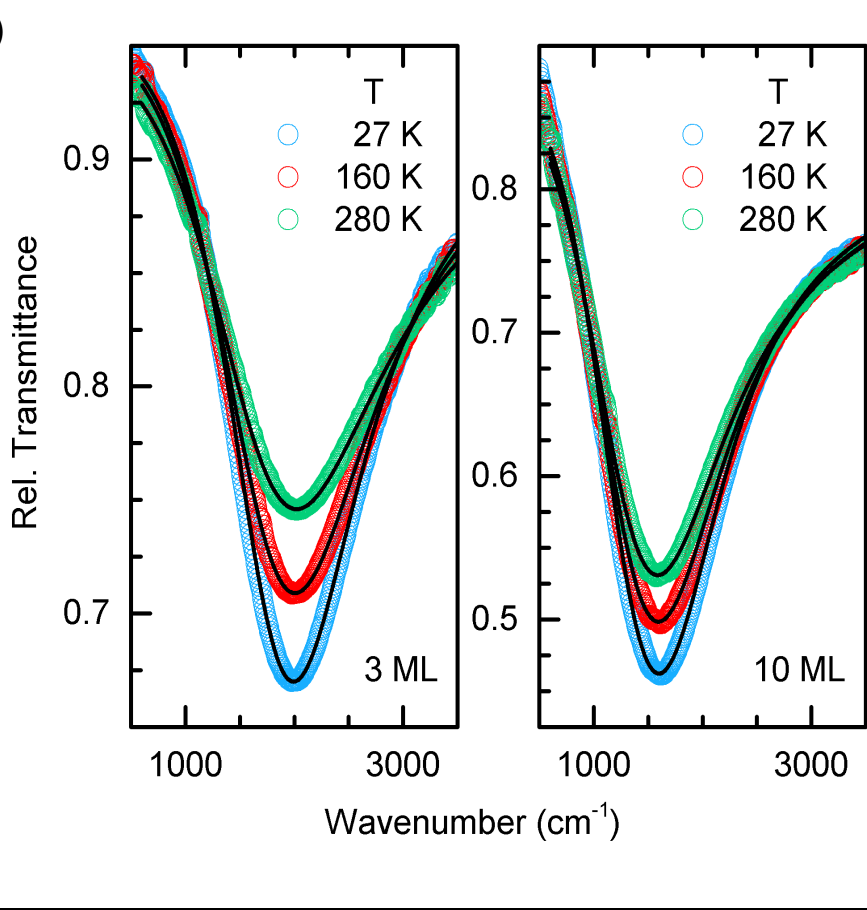
[H. V. Chung et al, SPIE Vol. 7394, 73941E \(2009\)](#)

FUNDAMENTAL PLASMONIC RESONANCE OF LEAD NANORODS ON SI(557)

E || steps

Development with temperature

Average Pb coverage	Length (nm)	Width (nm)	Height (nm)
2 ML	170 ± 30	30 ± 6	5 ± 1
3 ML	585 ± 20	90 ± 6	15 ± 1
5 ML			
10 ML	1126 ± 100	144 ± 12	24 ± 2



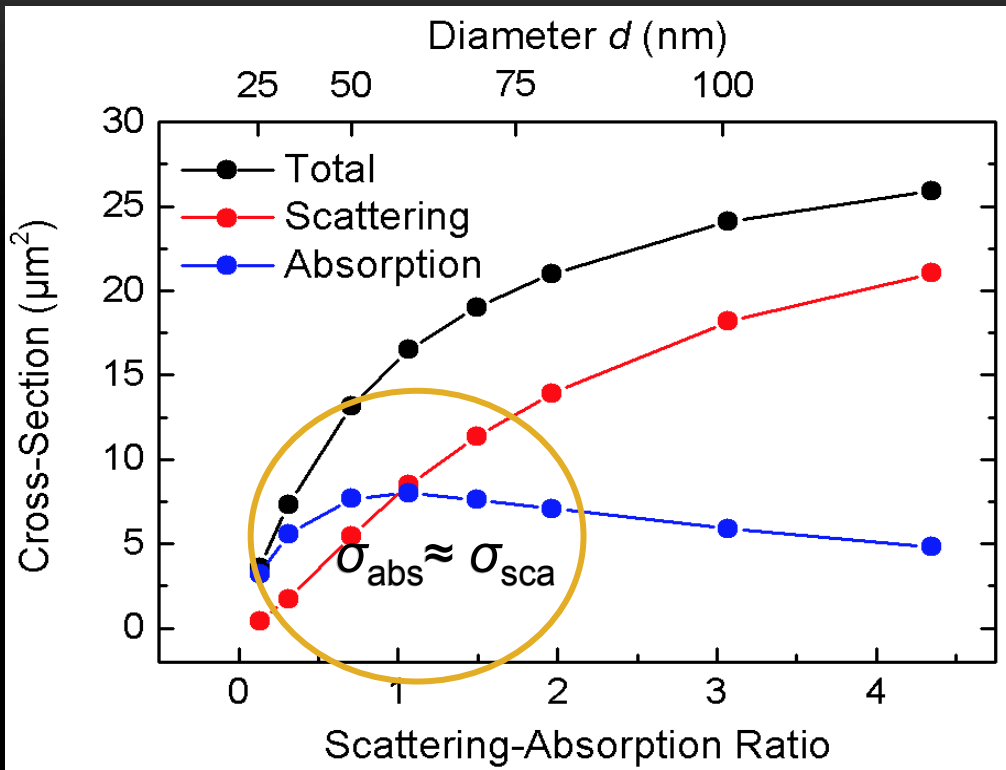
$$\omega_{\tau} = \omega_{\tau p}(T) + [\omega_{\tau s} + \omega_{\tau d}],$$

$$\omega_{\tau p} = \frac{1}{\tau_0} \left[\frac{2}{5} + 4 \left(\frac{T}{\theta_D} \right)^5 \int_0^{\theta_D/T} \frac{z^4}{e^z - 1} dz \right]$$

as fit function

J. Vogt, Chung Vu Hoang, C. Huck, F. Neubrech, A. Pucci, J Phys. Chem C 120 (2016) 19302.

ANTENNA SIZE FOR MAXIMUM NEAR-FIELD ENHANCEMENT



Resonance cross sections versus scattering-absorption ratio (diameter) for gold antennas (resonance at 1250 cm^{-1}). FDTD simulations with Palik's data for gold.

T. Neuman, C. Huck, et al., *J. Phys. Chem. C* 119, 26652 (2015).

$$\sigma_{\text{abs}} = \sigma_{\text{sca}} \Leftrightarrow$$

maximum integrated near-field intensity

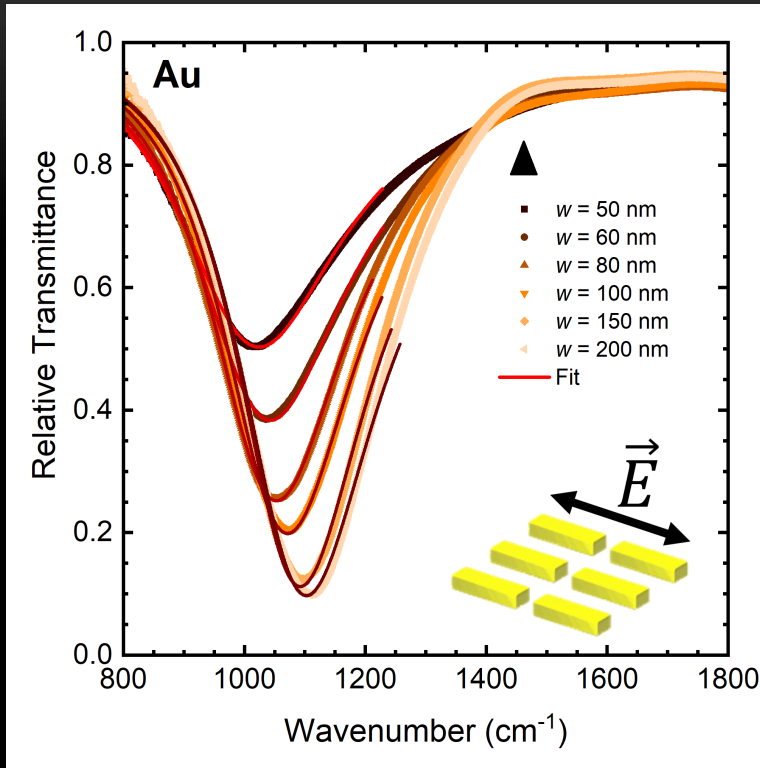
$$\sigma_{\text{abs}}(\omega = \omega_{\text{res}}) = \sigma_{\text{sca}}(\omega = \omega_{\text{res}})$$

$$\Rightarrow \omega_{\text{res}}^2 T_L = \omega_{\text{rad}} = \omega_{\tau},$$

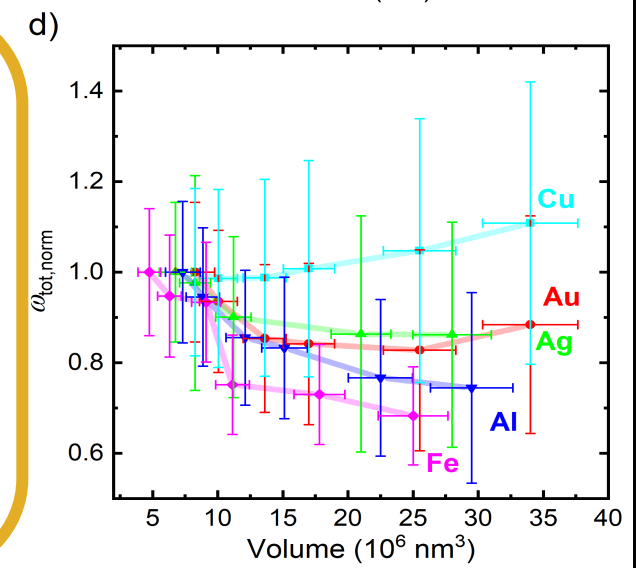
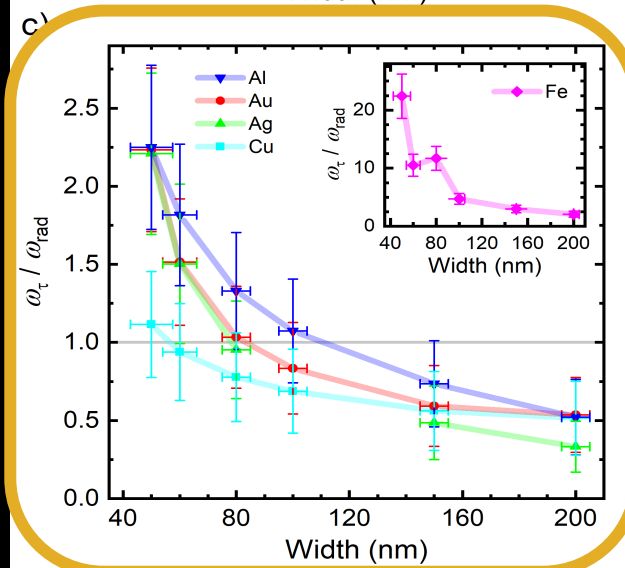
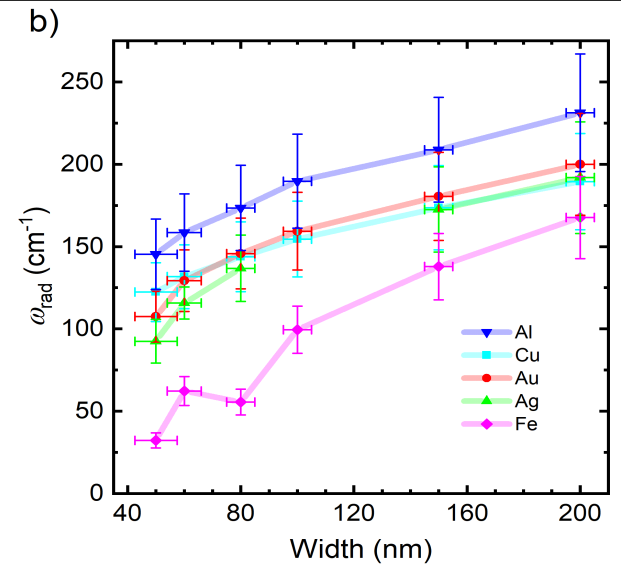
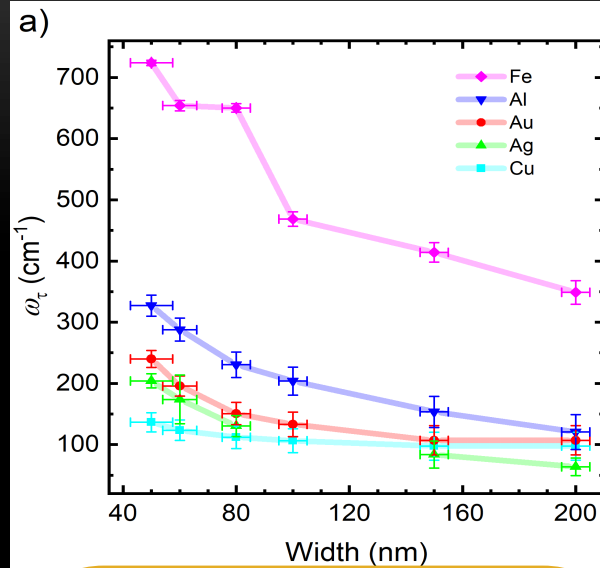
$\Rightarrow \sigma_{\text{abs}}(\omega = \omega_{\text{res}})$ is maximum regarding the change in antenna volume (or length or diameter)

T. J. Seok, *Nano Lett.* 11, 2606 (2011).

IR SPECTRA AND FIT RESULTS FOR DAMPING

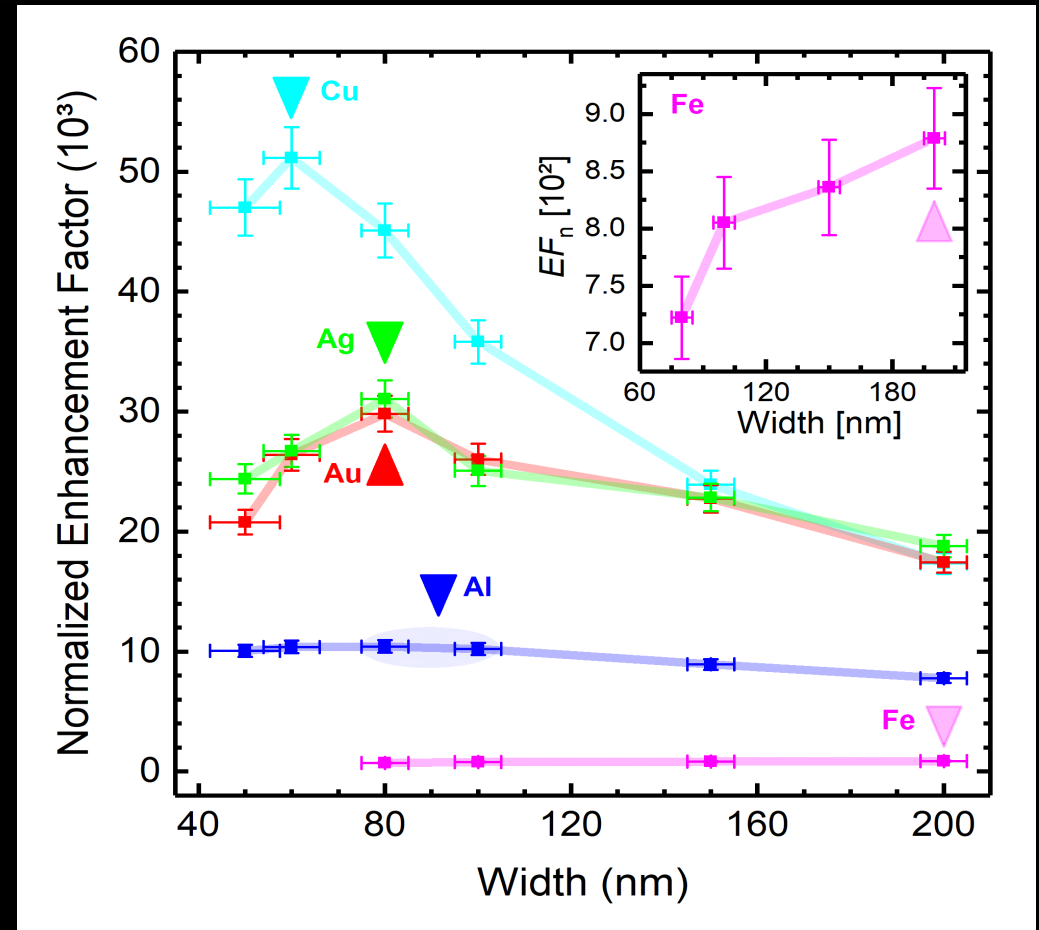
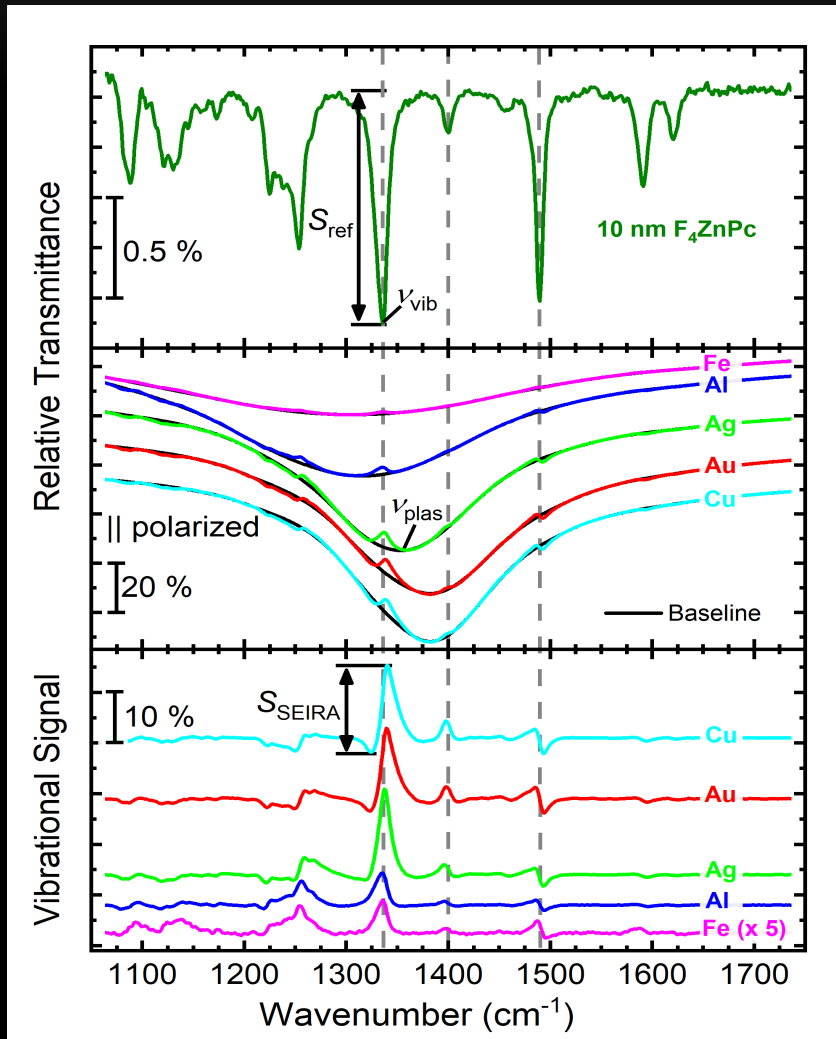
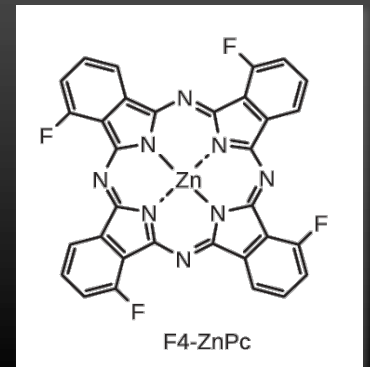


M. Tzschope et al., J. Phys. Chem. C 122,15678 (2018)



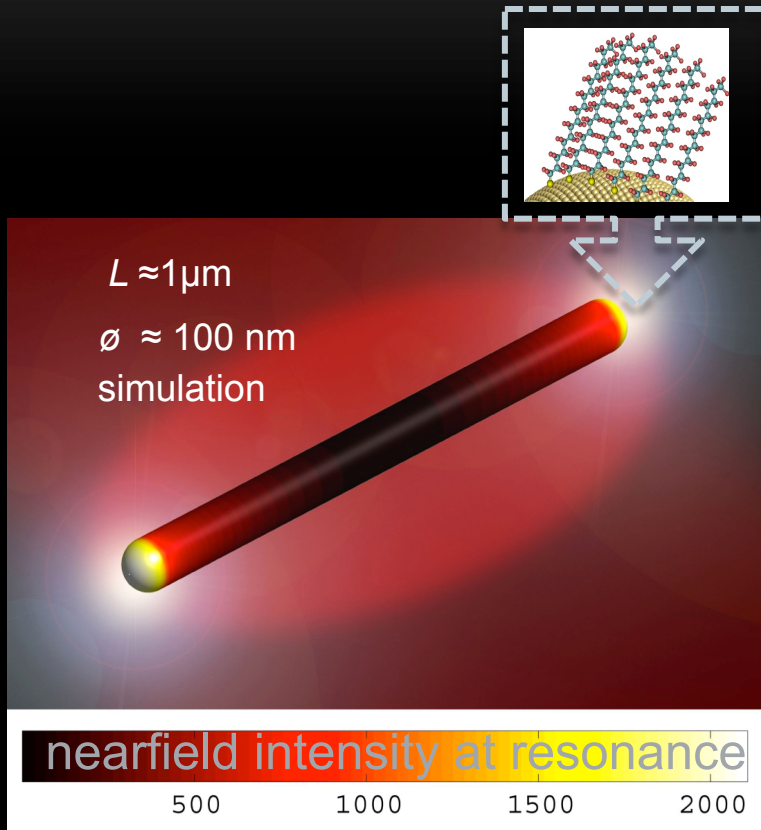
SEIRA ON VARIOUS ANTENNAS

M. Tzschoepe et al., J. Phys. Chem. C 122,15678 (2018)

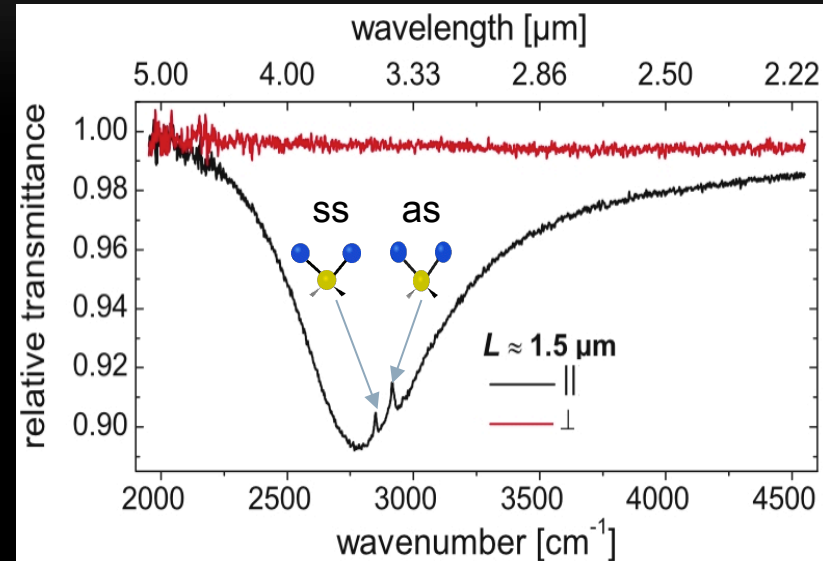


PLASMON-VIBRATION COUPLING AS A FANO EFFECT- SEIRA WITH PLASMONIC RESONANCES

F. Neubrech et al., Phys. Rev. Lett. 101, 157403 (2008)



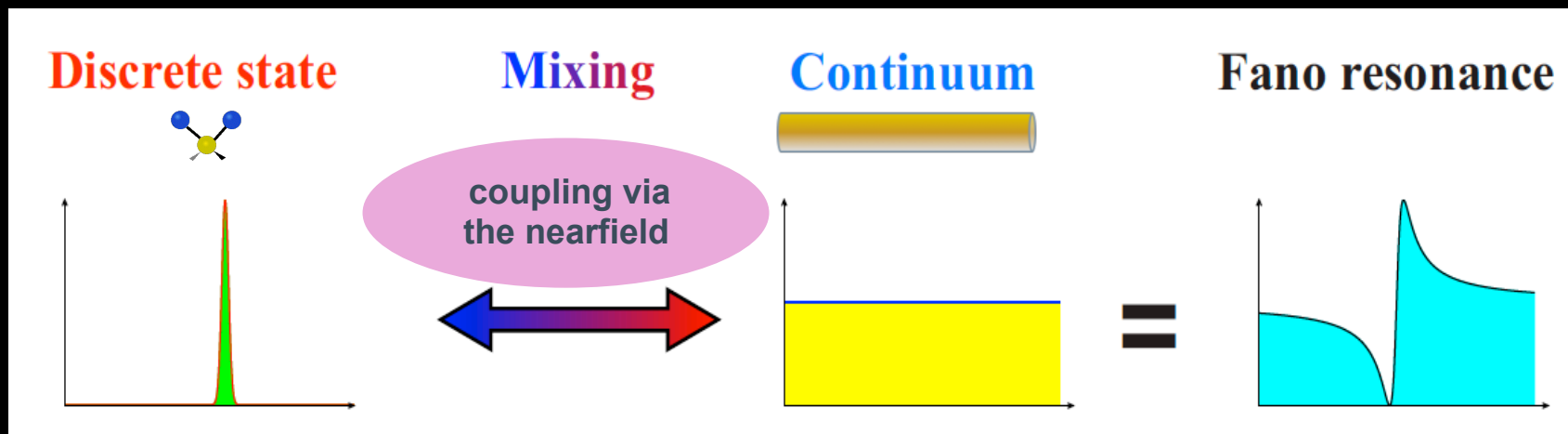
At resonance, the nearfield intensity at the tips is at least by two to three orders of magnitude enhanced



Vibration signals with 1% contrast from one ML octadecanethiol (ODT) molecules on the resonance background of a single antenna corresponds to an increase of extinction.

Signals from ≈ 1 attomol ODT molecules on the two tip ends of the wire! Vibrational cross section ca. 500 000 times enhanced.

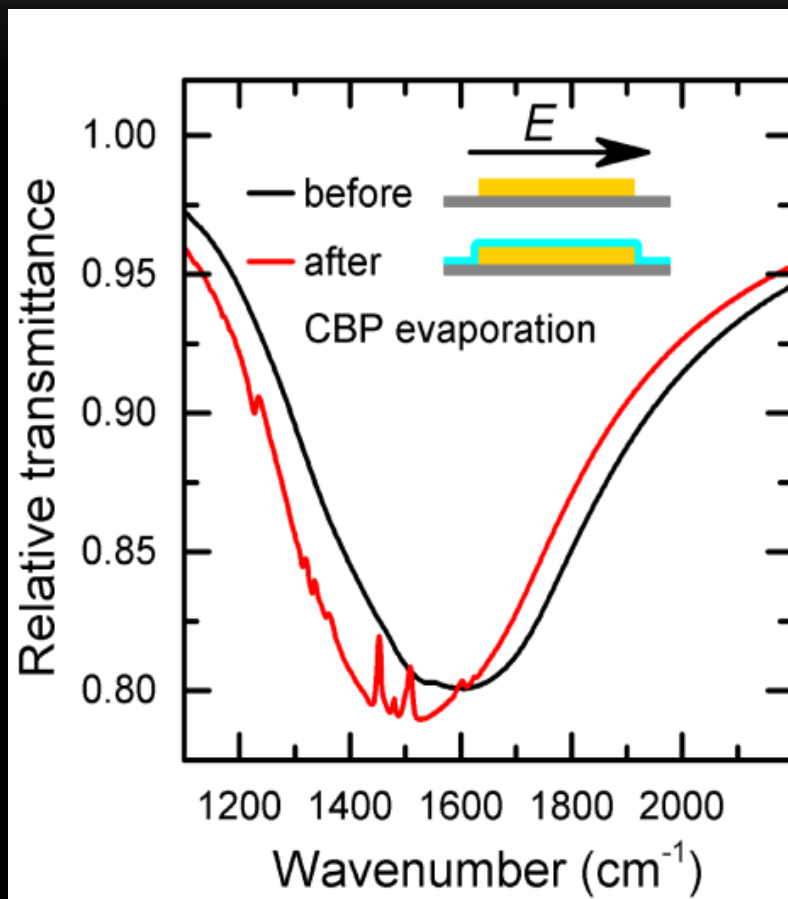
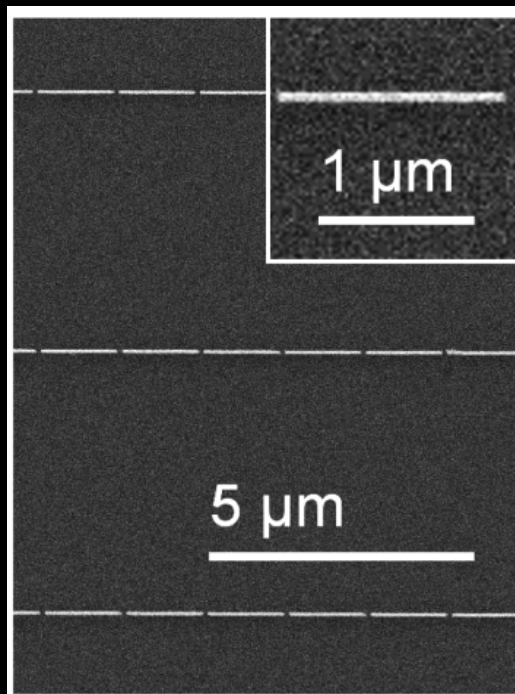
The Fano effect leads to asymmetric lines or even "anti-absorption"



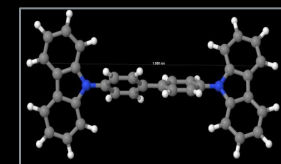
After A. E. Miroshnichenko et al., REVIEWS OF MODERN PHYSICS 82, 2257(2010).

SEIRA OF A LAYER OF ORGANIC MOLECULES

Array on CaF_2 (IIT Genova), L ca. 1500 nm, $h=w=60\text{nm}$, $g_x=50\text{ nm}$

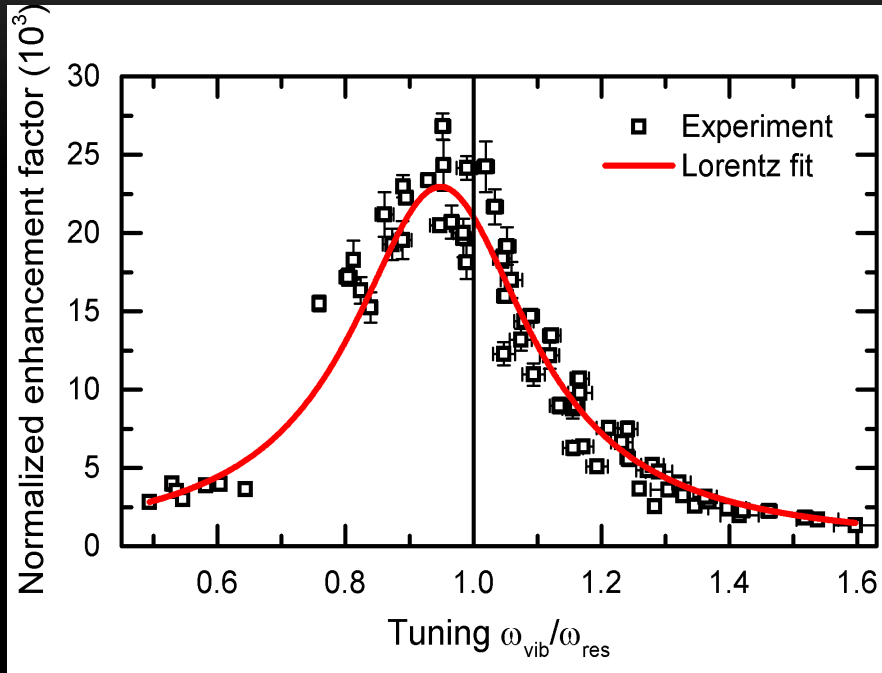


5 nm 4,4-N,N-dicarbazole-biphenyl (CBP)



J. Vogt et al. Phys. Chem. Chem. Phys. 17 (2015), 21169-21175.

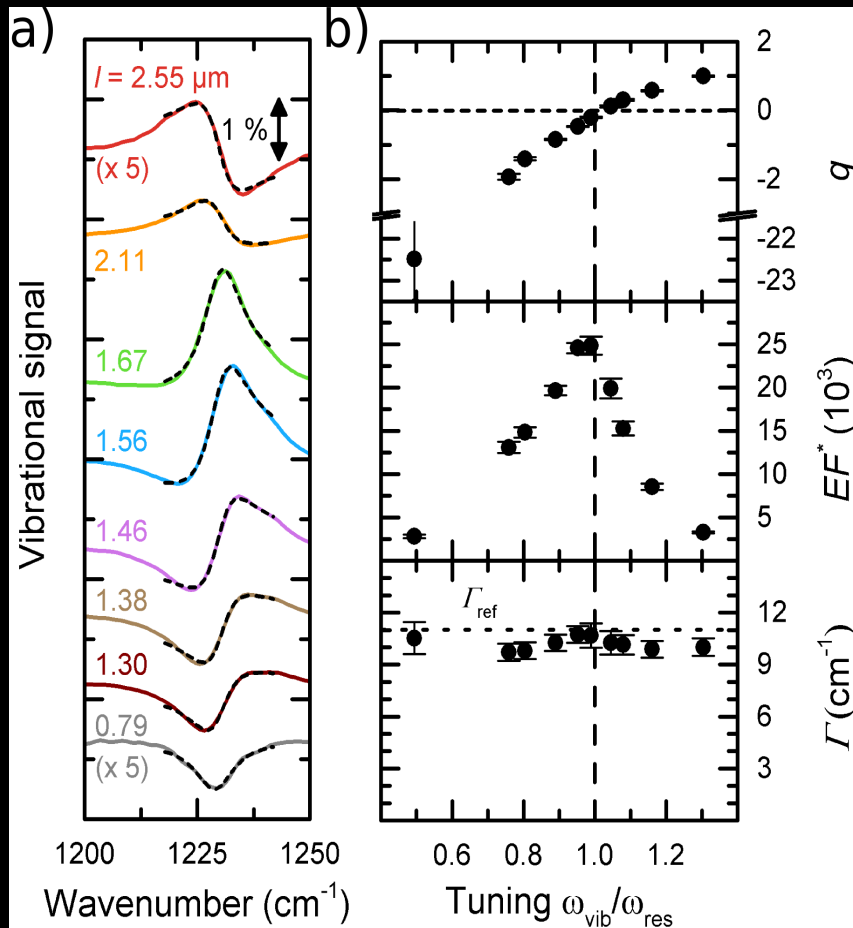
SEIRA : FREQUENCY TUNING, FANO-LINE SHAPE



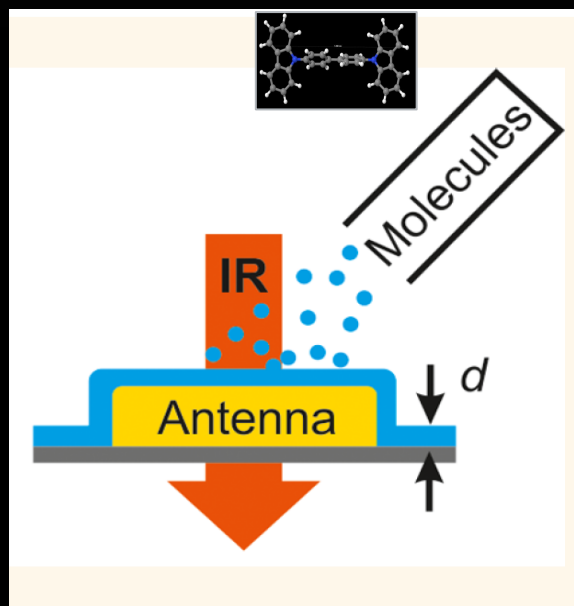
Maximum SEIRA below extinction experimentally established!

J. Vogt et al. *Phys. Chem. Chem. Phys.* 17 (2015), 21169-21175.

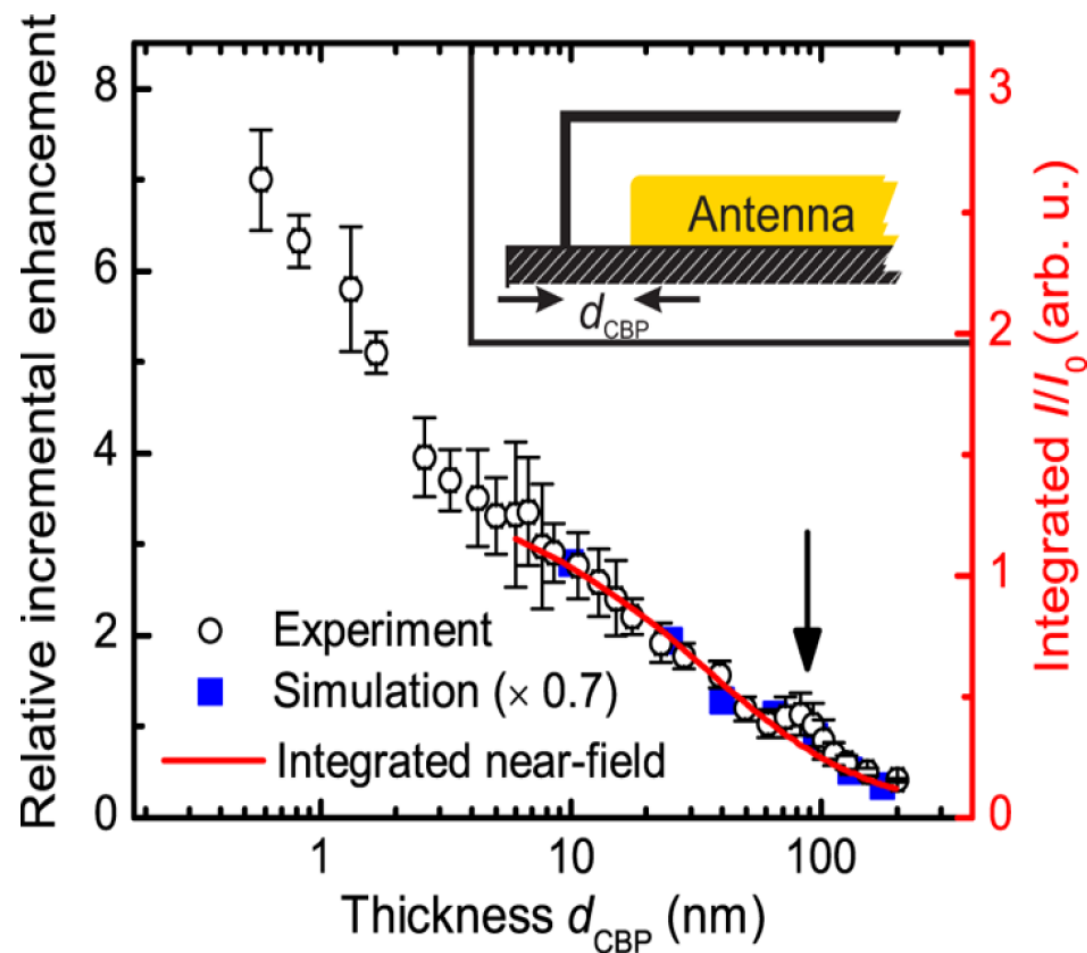
$$f(\varepsilon) = \frac{(q + \varepsilon)^2}{(1 + \varepsilon^2)}, \quad \varepsilon = 2(\omega - \omega_{\text{vib}}) / \Gamma$$



SPATIAL RANGE OF SEIRA



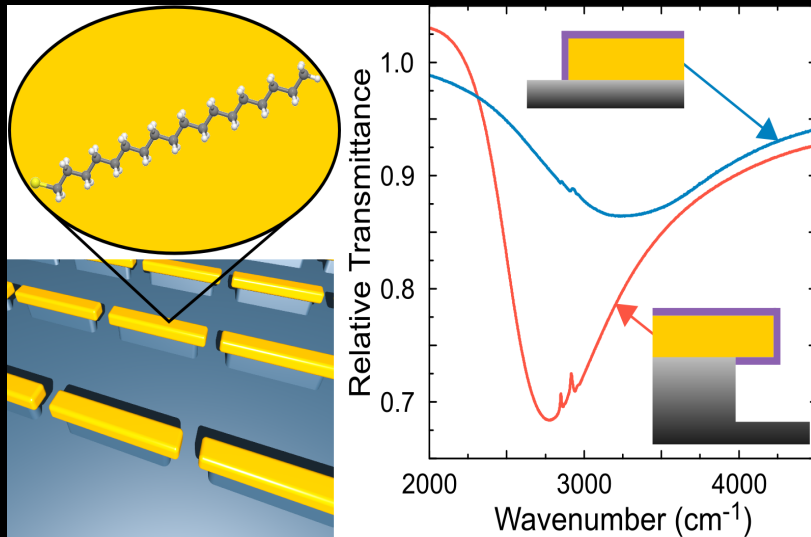
IR spectroscopy during CBP deposition onto a large array (under UHV conditions, 300 K)



F. Neubrech et al., ACS Nano 8, 6250 (2014)

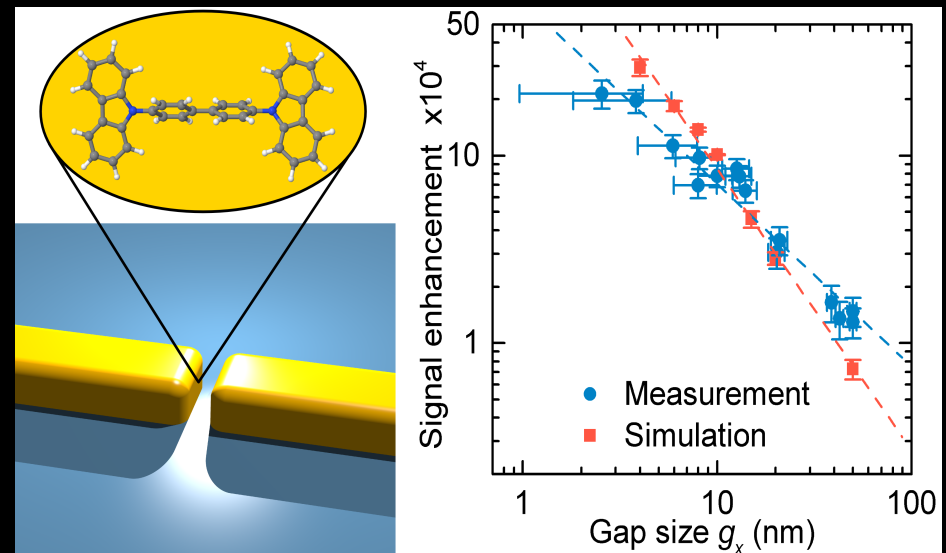
ROUTES TOWARDS MAXIMUM SEIRA

Reduced substrate polarization and optical cavities



C. Huck, et al., ACS Photonics 2, 4 (2015) 497-505.

Narrow gaps



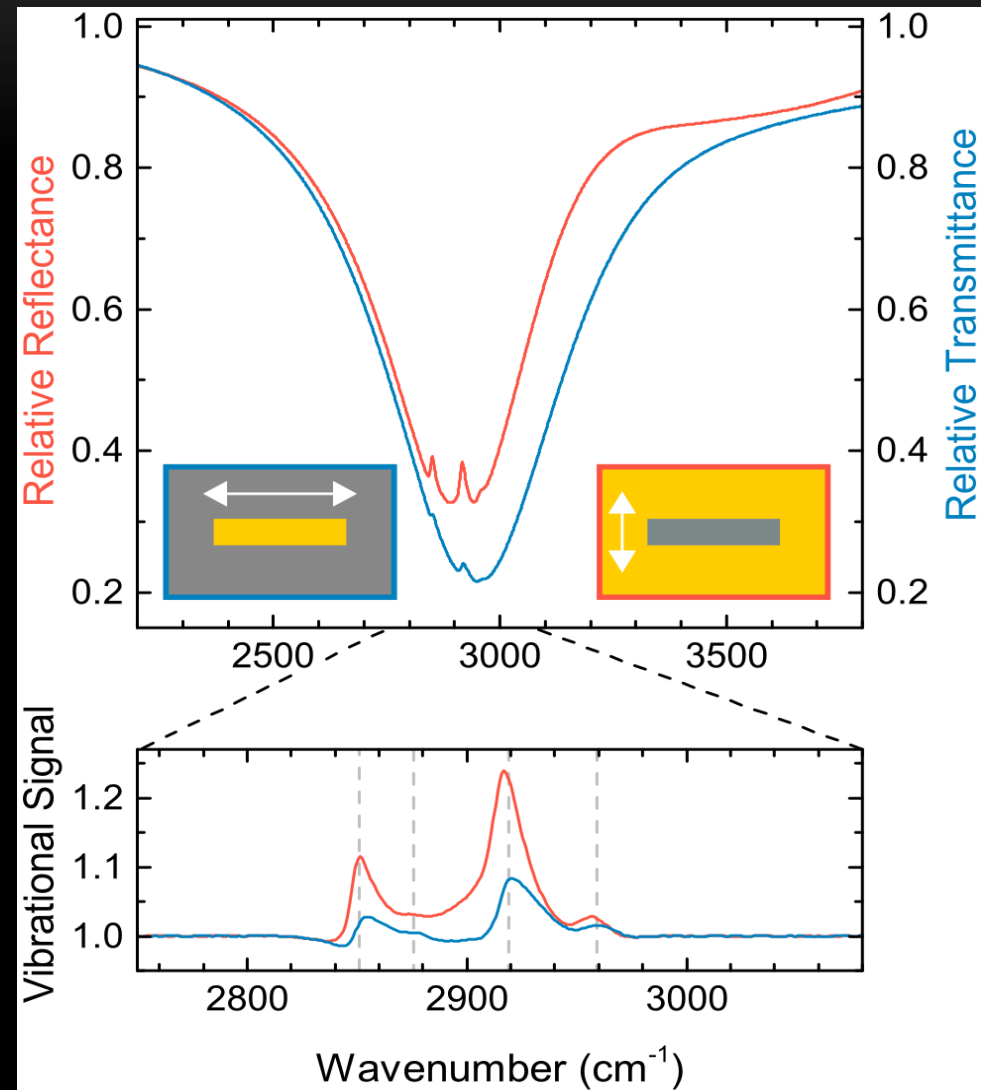
C. Huck, et al., ACS Nano 8, 4908 (2014).

TOWARDS SEIRA OF A SINGLE DUST

PARTICLE — APPERTURES FOR BETTER TRAPPING AND HIGHER SEIRA

Nanoslits versus nanorods:
Arrays with the same distances, structures with the same width and height and similar length.

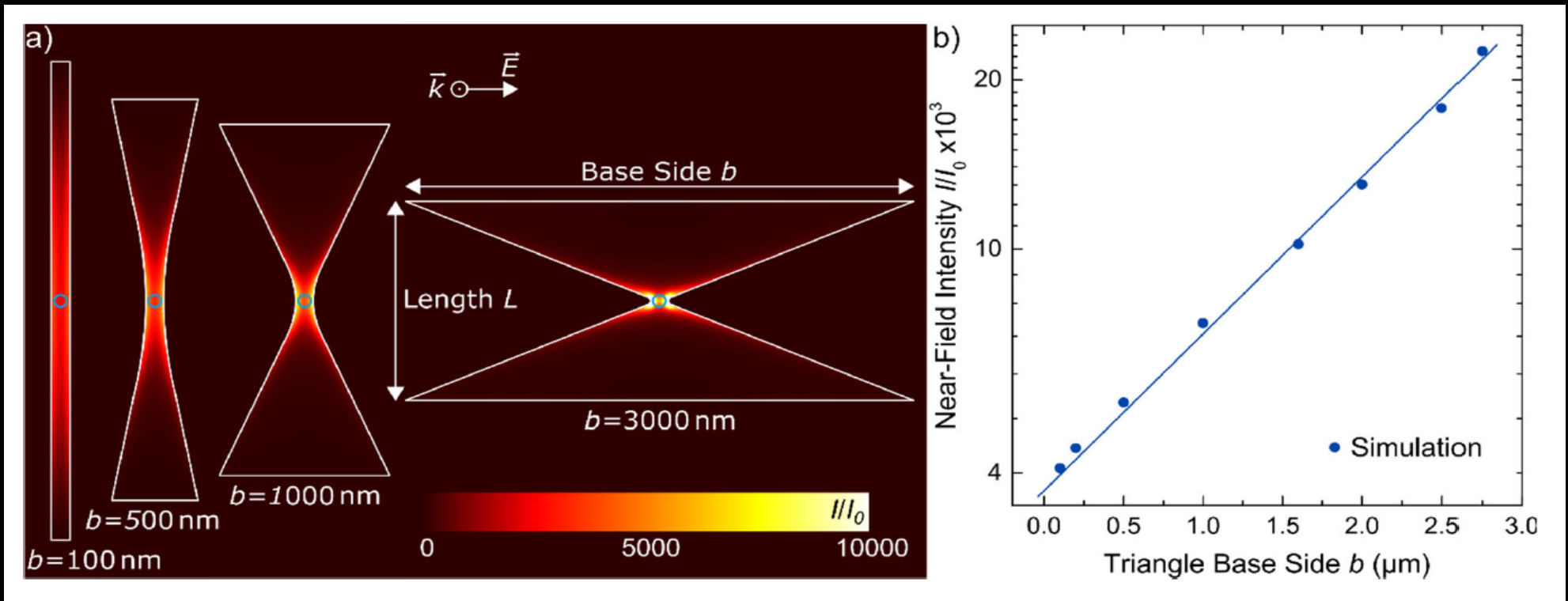
SEIRA signals for the slit array are ca. 3 times higher (ODT test molecules).



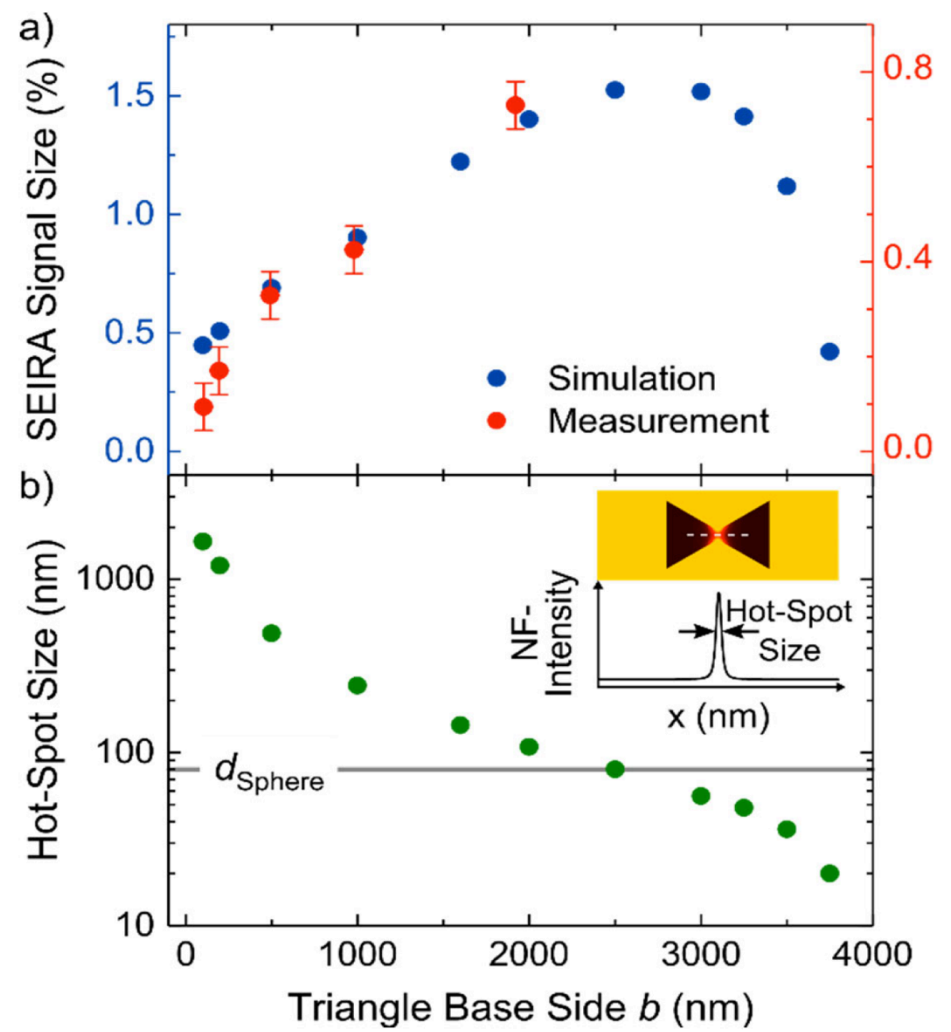
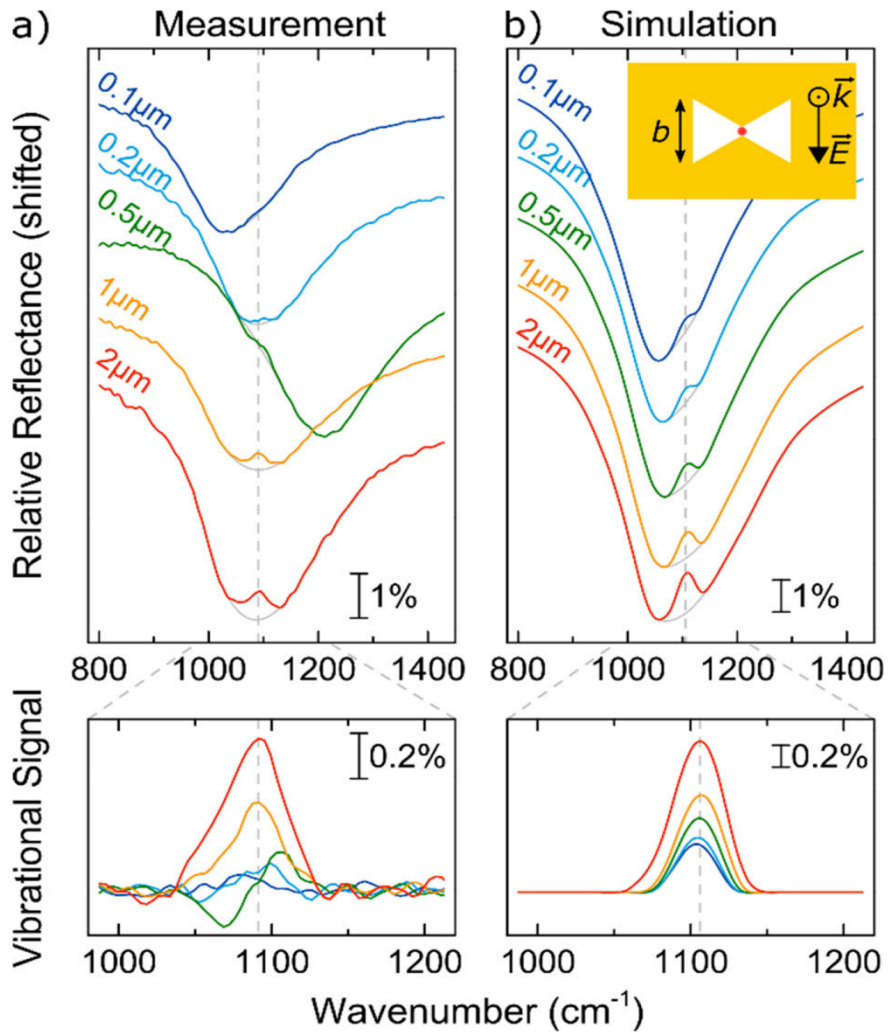
C. Huck et al., ACS Photonics 2, 1489 (2015).

IMPROVED SENSITIVITY AND TRAPPING FOR ULTRAFINE DUST SENSING

C. Huck et al., Phys. Rev. Applied 11, 014036 (2019)

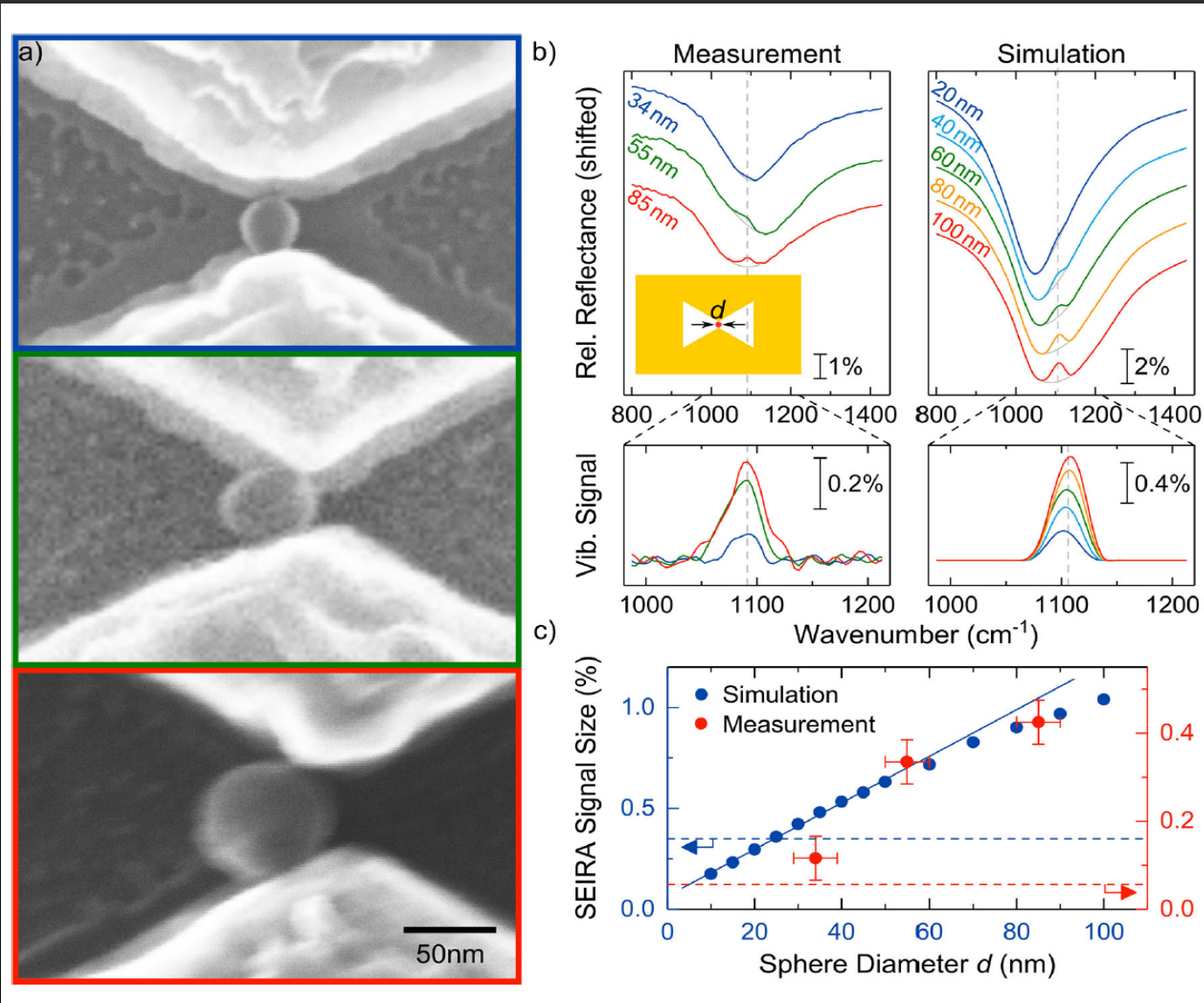


SEIRA SIGNALS OF SILICA NANO-SPHERES

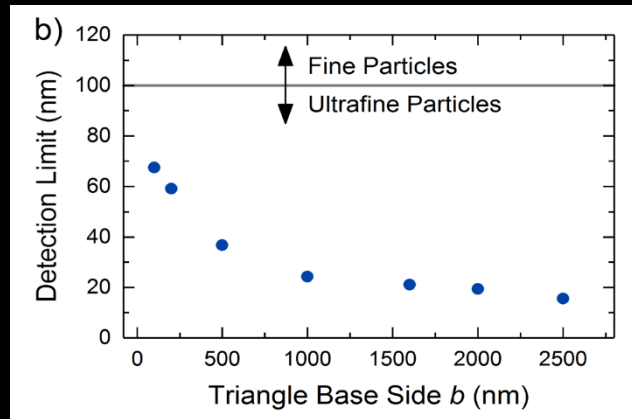


C. Huck et al., Phys. Rev. Applied 11, 014036 (2019)

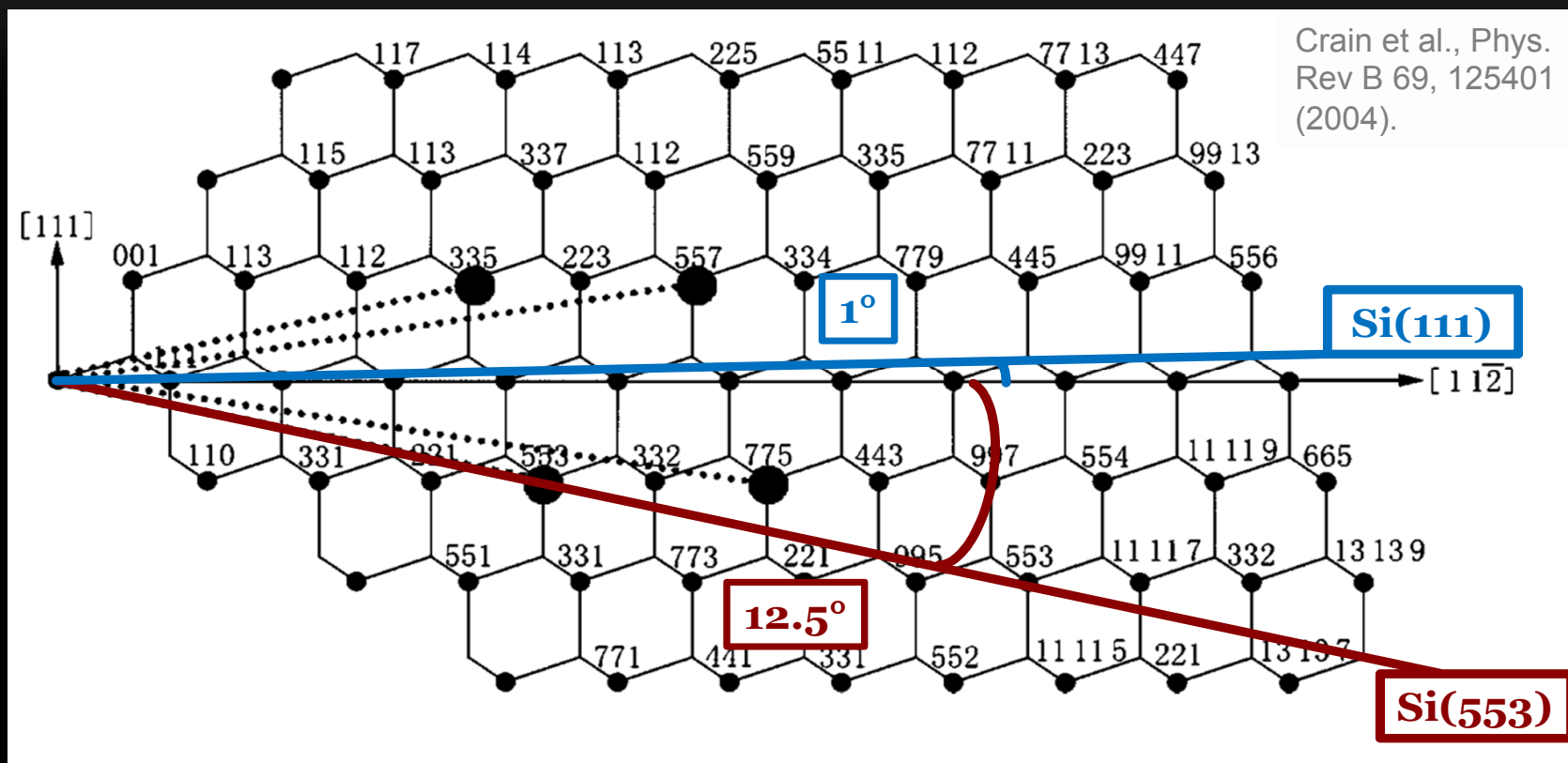
SENSITIVITY AND DETECTION LIMIT



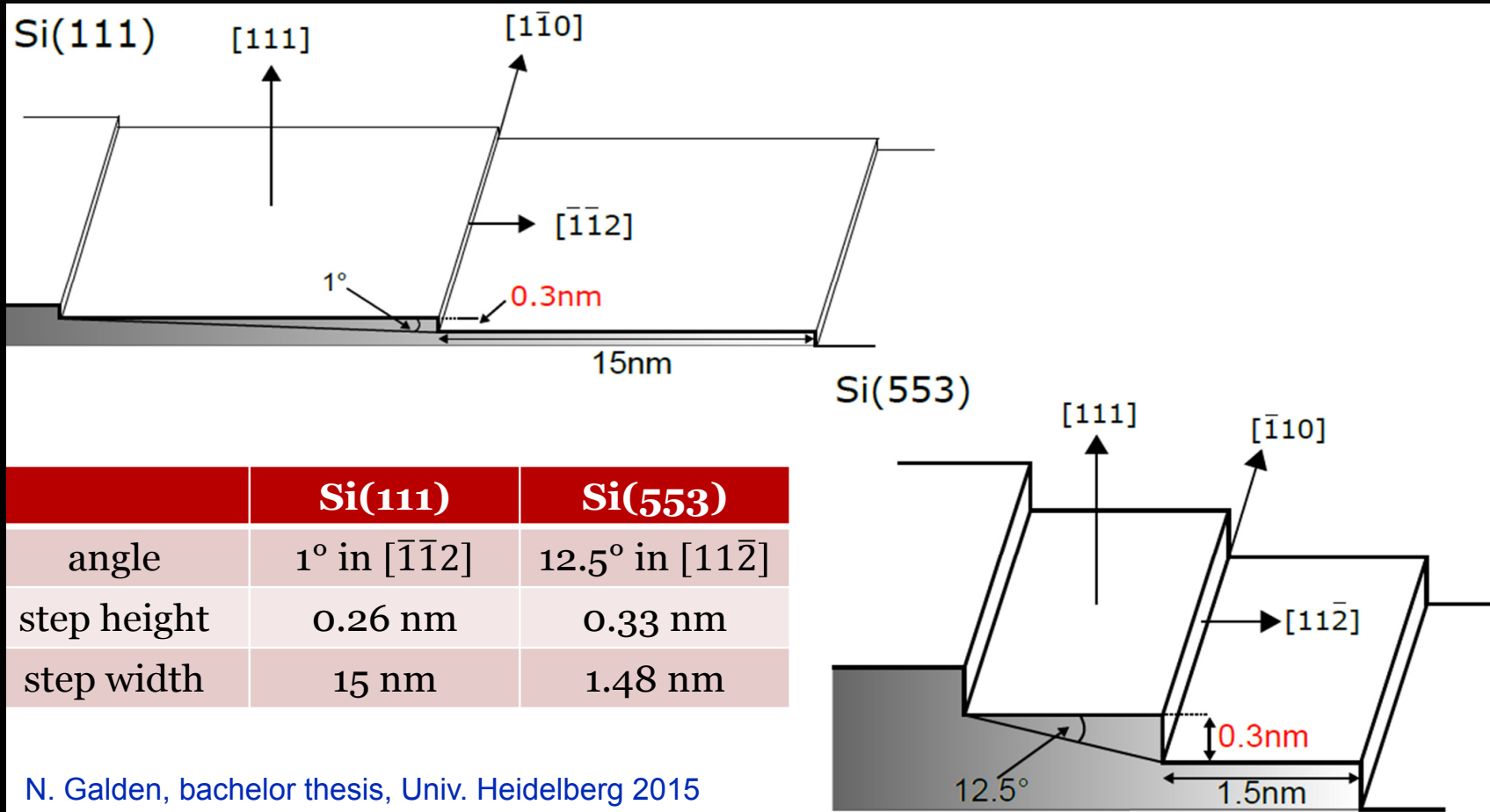
C. Huck et al., Phys. Rev. Applied 11, 014036 (2019)



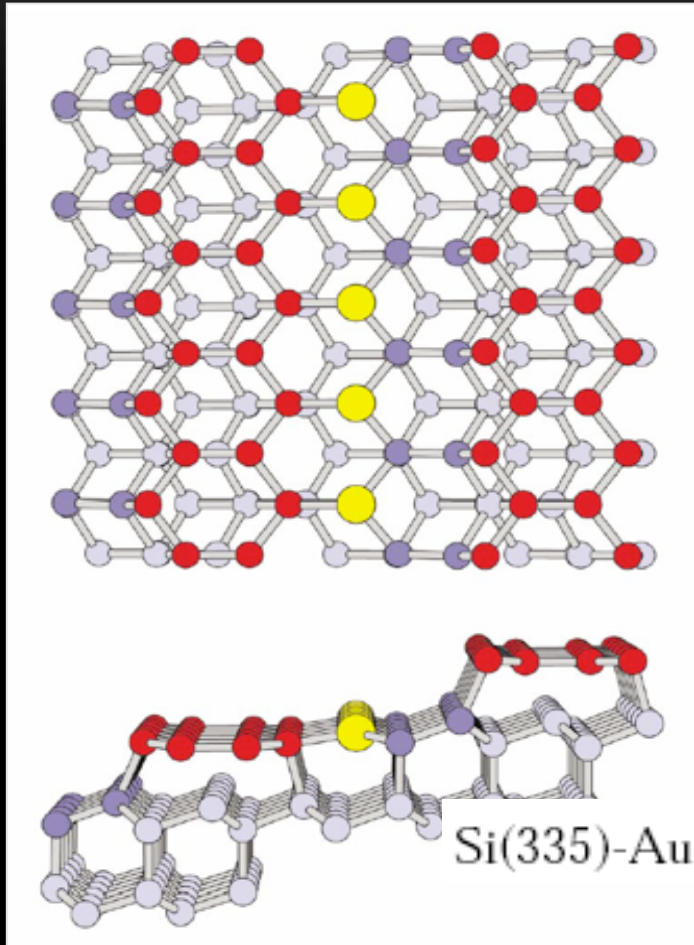
Vicinal Si surfaces as templates for atomic chains



Vicinal Si surfaces as templates, examples



Vicinal Si surfaces with Au



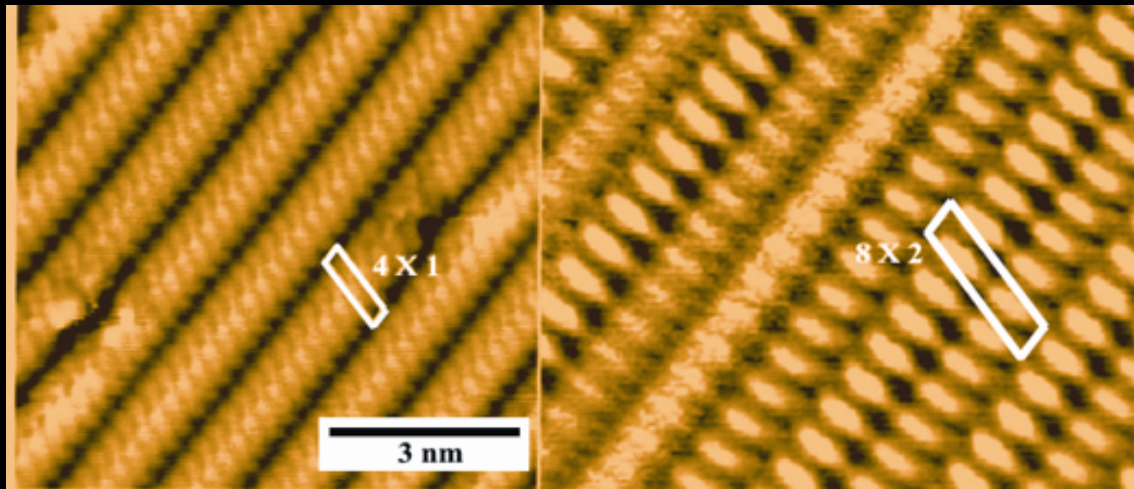
PHYSICAL REVIEW B **69**, 125401 (2004)

TABLE I. Summary of chain spacings, gold coverages, and tilt angles from $[1\ 1\ 1]$ for several gold chain structures on vicinal silicon surfaces. The coverages are in units of Si(111) monolayers (ML).

Orientation	Spacing (nm)	Au coverage (ML)	Off-axis angle
Si(111) 5×2 -Au	1.67	0.4 (new: 0.7)	0°
Si(335)-Au	1.26	0.27 ± 0.04	14.4° to $[\bar{1}\ \bar{1}\ 2]$
Si(557)-Au	1.92	0.18 ± 0.04	9.5° to $[\bar{1}\ \bar{1}\ 2]$
Si(553)-Au	1.48	(HCW:0.46)	12.5° to $[1\ 1\ \bar{2}]$
Si(775)-Au	2.13	0.25 ± 0.07	8.5° to $[1\ 1\ \bar{2}]$
Si(995)-Au	2.63	0.13 ± 0.04	13.8° to $[1\ 1\ \bar{2}]$
Si(13 13 7)-Au	3.78	0.09 ± 0.04	14.4° to $[1\ 1\ \bar{2}]$
Si(110) 5×2 -Au	2.72	0.30 ± 0.07	35.3° to $[1\ 1\ \bar{2}]$

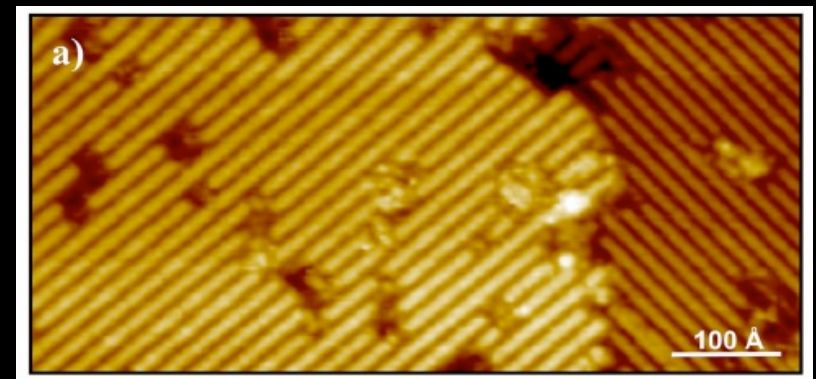
Examples by STM

Indium on Si(111)



H.W. Yeom et al, Phys. Rev. Lett. **82**, 4898 (1999)

Gold on Ge(001)



J. Schaefer et al, Phys. Rev. Lett. **101**, 236802 (2008)

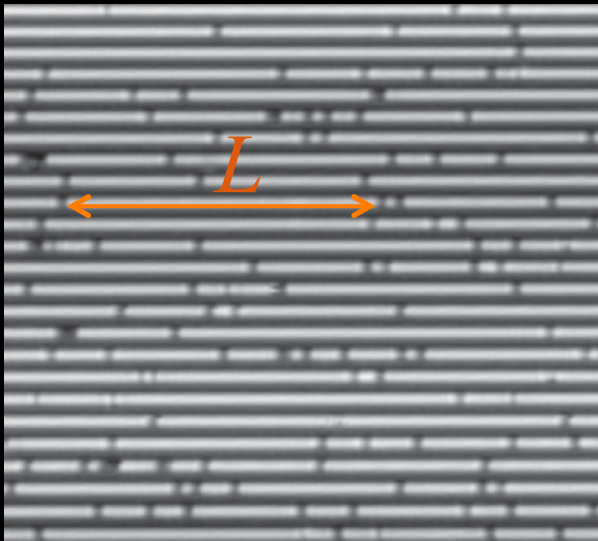
In reality, there are domains and thus finite lengths of the metal-atom chains.

Localized quasi-1D IR plasmonic excitations

$$\omega_{\text{res}}(L) = \frac{e\pi}{L} \left\{ \frac{1}{2\varepsilon_0\varepsilon_{\text{effective}}} \cdot \frac{n_{1D}}{m^*} \ln\left(\frac{L}{\pi b}\right) \right\}^{\frac{1}{2}}$$

For plasmonic dispersion relations see, e.g., T. Giamarchi, "Quantum Physics in One Dimension", Clarendon Press, Oxford 2003. Here π/L is inserted as wavevector of the standing wave.

b is a measure of the spill-out of the electronic wavefunction. n_{1D} is the 1D free charge carrier density, m^* their effective mass.



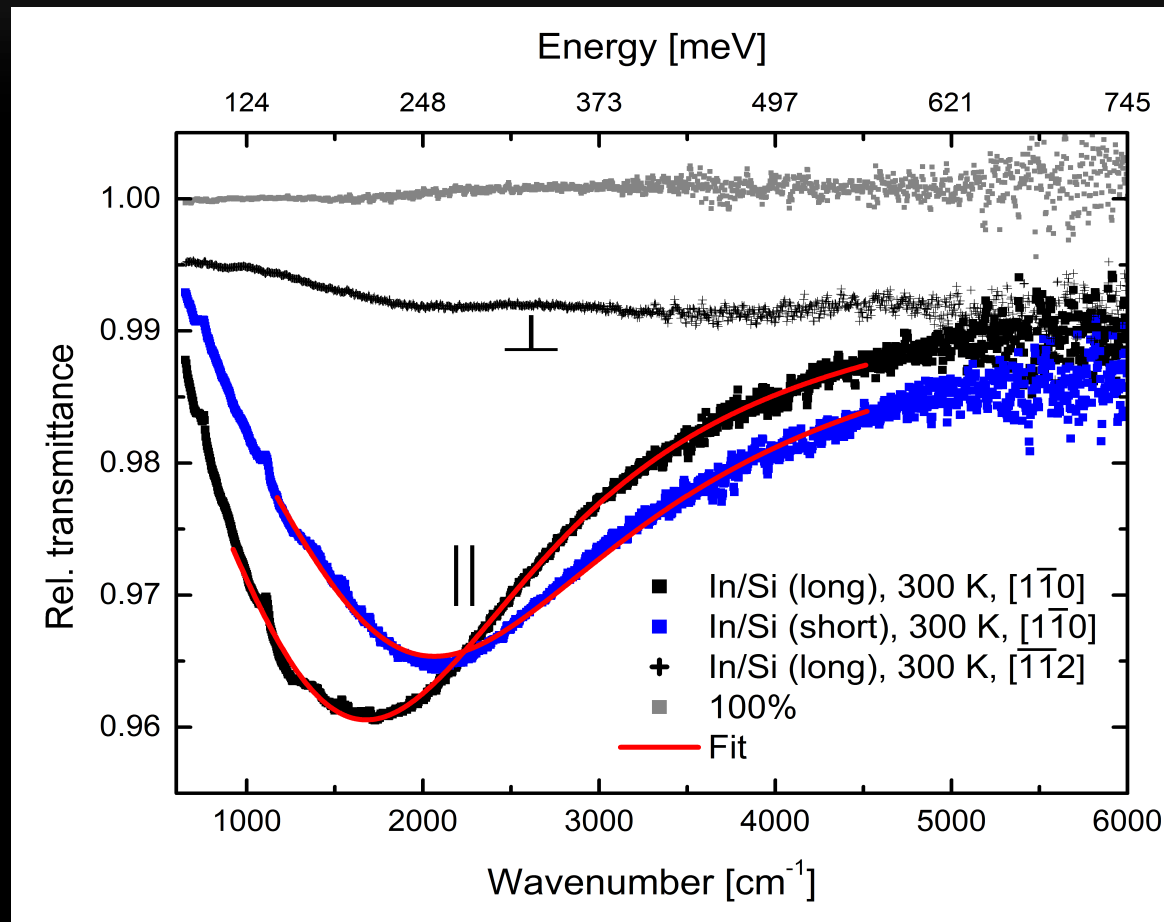
STM image (ca. 38 nm x 38 nm) of **finite chains** of a Si(553)-Au surface. J.N. Crain et al., Phys. Rev. Lett. 96 (2006) 156801.

- The fundamental antenna-like mode for $L = \lambda_{\text{plasmon}}/2$ has a dipolar character and interacts with light
- Absorption cross section of dipolar plasmonic excitations of metallic needles:

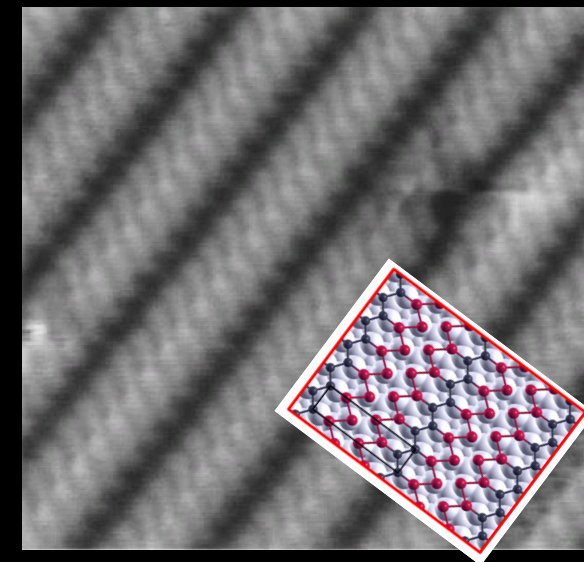
$$\sigma_{\text{abs}} = \frac{n_{1D} L e^2}{m^* \varepsilon_0 c} \frac{\omega^2 \omega_{\tau}}{\left[\left(\omega_{\text{res}}^2 - \omega^2 \right)^2 + \omega^2 \left(\omega_{\tau} \right)^2 \right]}$$

F. Hötzel et al., Nano Lett. 15, 4155 (2015); J. Phys. Chem. Lett. 6, 3615 (2015); J. Phys. Chem. C 121, 8120 (2017).

Si (111)-(4x1) In, plasmonic resonances of finite In atom chains, two different domain sizes

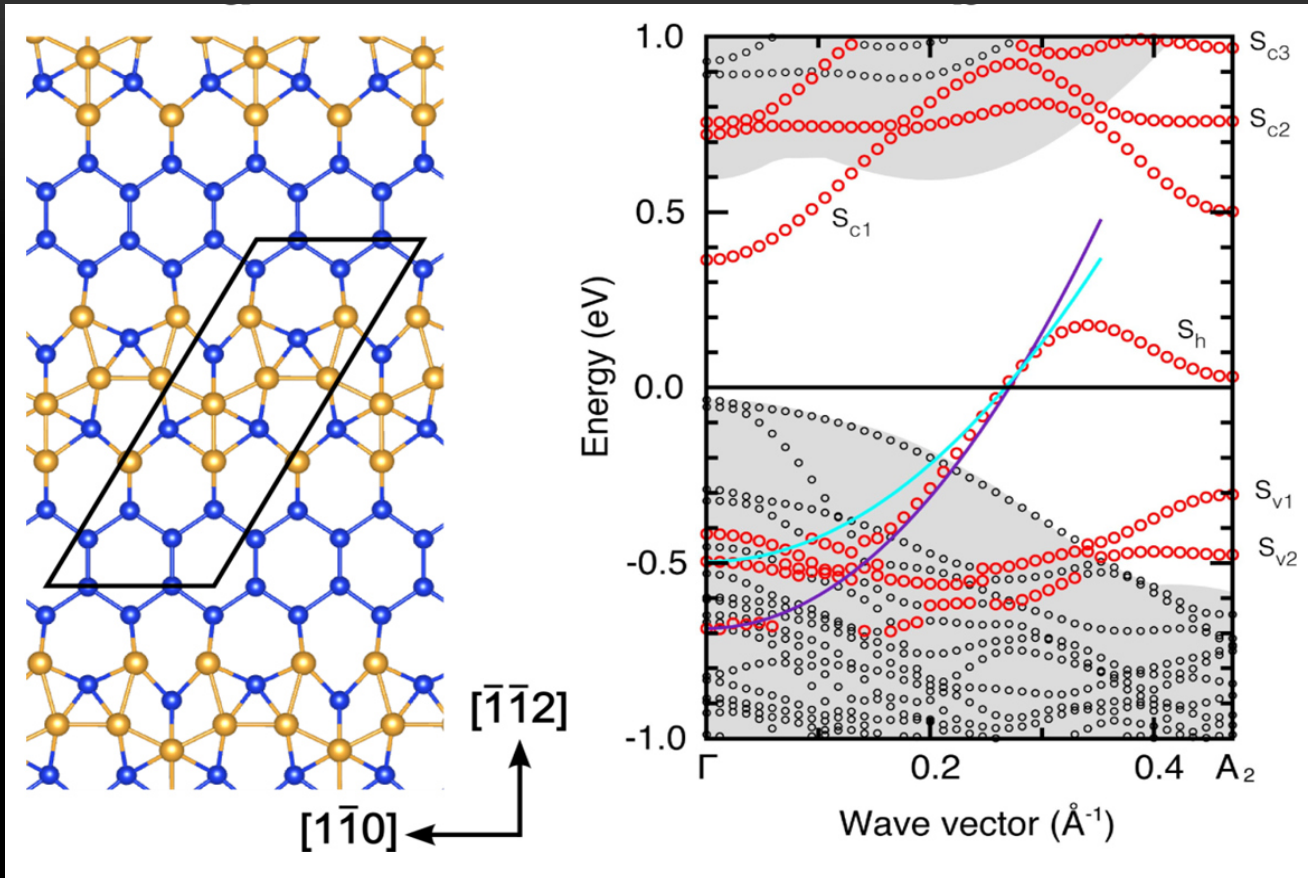


H. V. Chung et al., *Applied Physics Letters* 96 (2010) 243101.
 F. Hötzel et al., *Nano Letters* 2015, SI



STM, Si(111)-4x1-In (room temperature), from T. Nagao 2010, model e.g. by W.G. Schmidt et al. 2012, red: In.

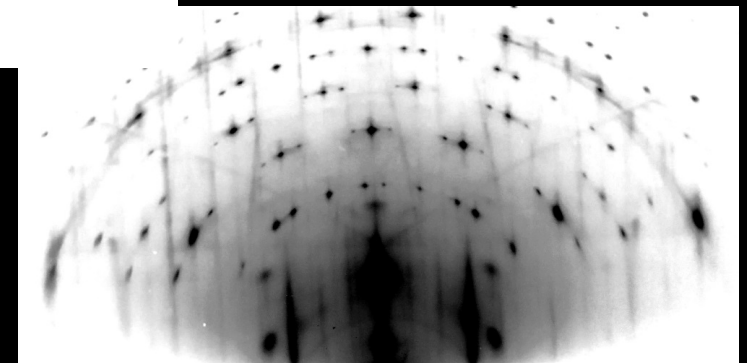
The Si (111)-(5x2) Au surface



DFT calculated band structure (Seino, Bechstedt), two possible parabolic fits of the half-filled band S_h are indicated in purple and turquoise.

F. Hötzel, et al., Nano Letters 15, 4155 (2015).

RHEED, 20 K,
Si wafer with a slight miscut (1°) towards $[-1 -1 2]$ supports the formation of one dominating domain orientation.



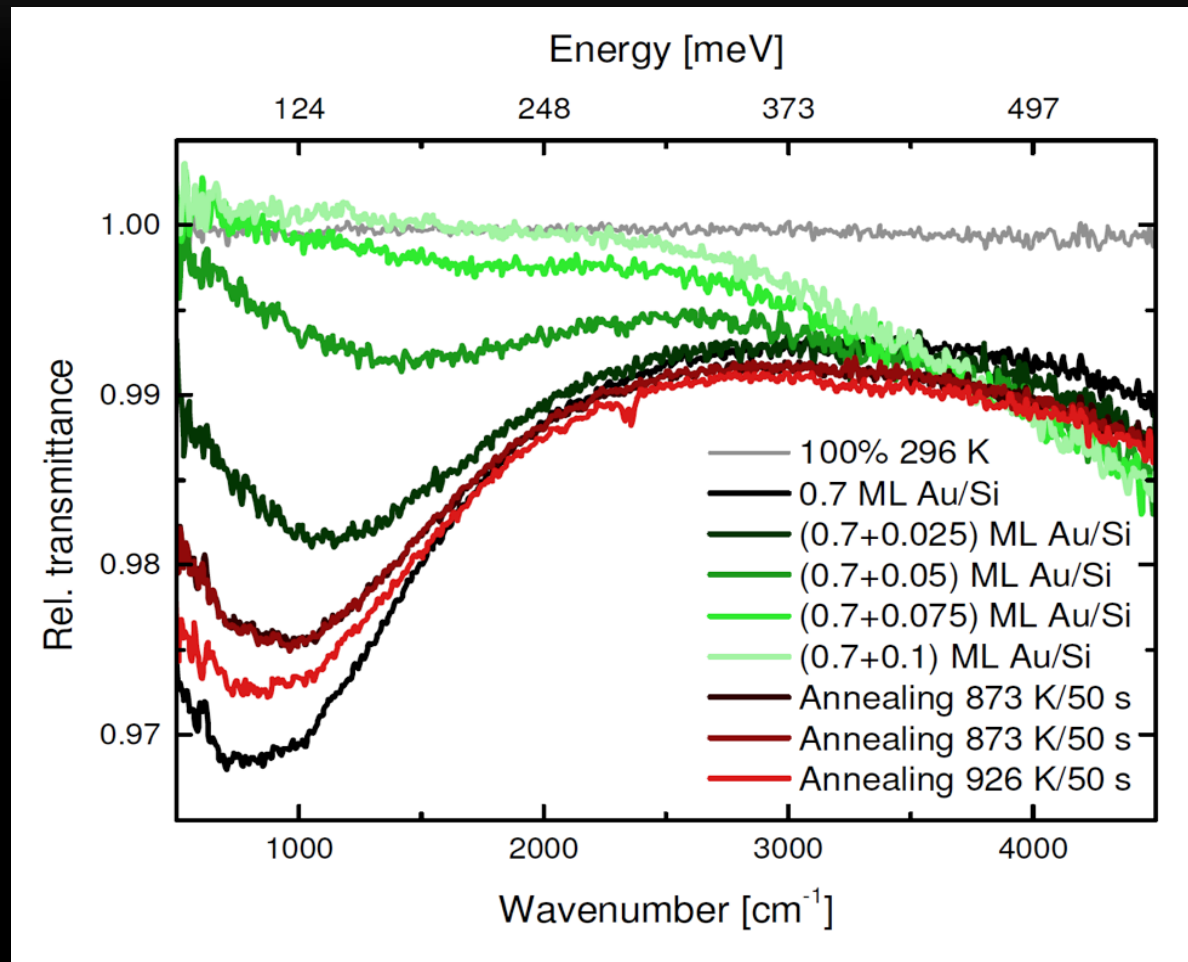
Au on Si (111)-(5x2) Au

Plasmonic resonances disappear upon S_h band filling

Au deposition at 300 K,

A reconstruction change could not be seen.

DFT calculations by K Seino and F. Bechstedt demonstrate that with 0.8 ML (8 Au in unit cell) the S_h band has shifted below the Fermi level.

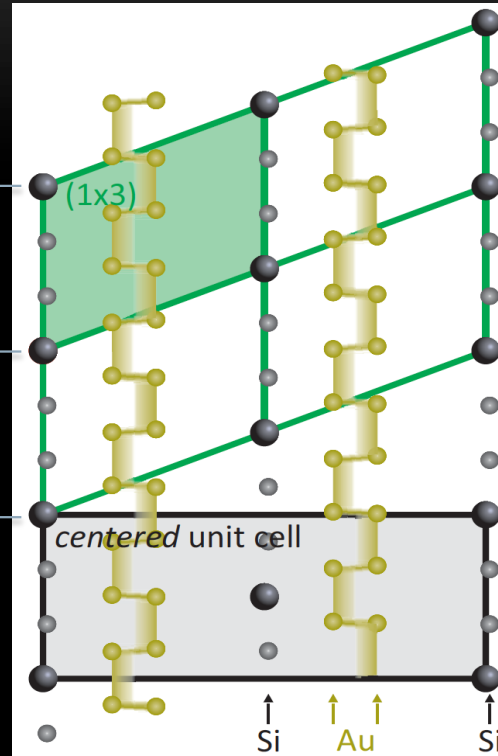
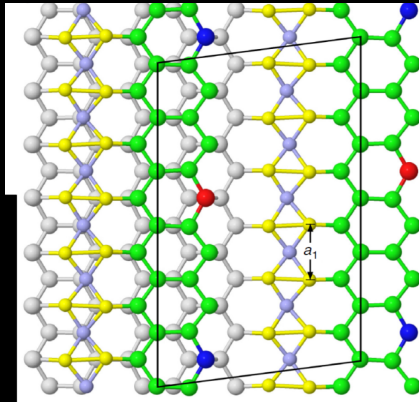


F. Hötzel, et al., J. Phys. Chem Lett. 6, 3615 (2015).

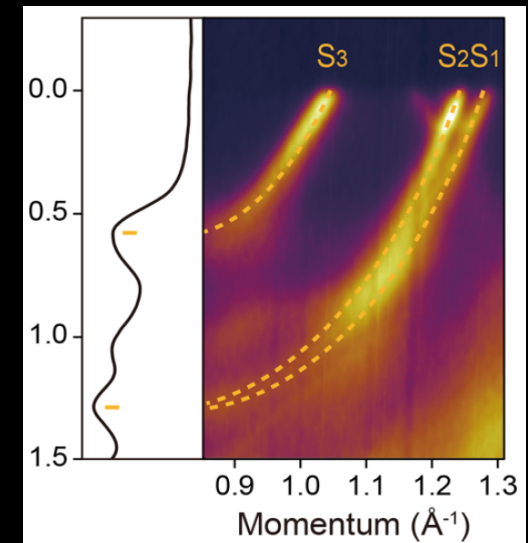
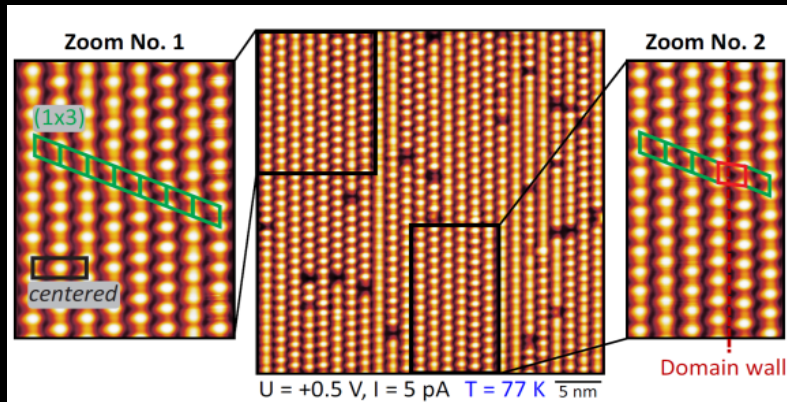
GOLD-ATOM CHAINS ON SI(553) – HIGH COVERAGE

0.46 ML Au

S.C. Erwin and F.J.Himpsel, Nat. Commun.1 (2010) 58.



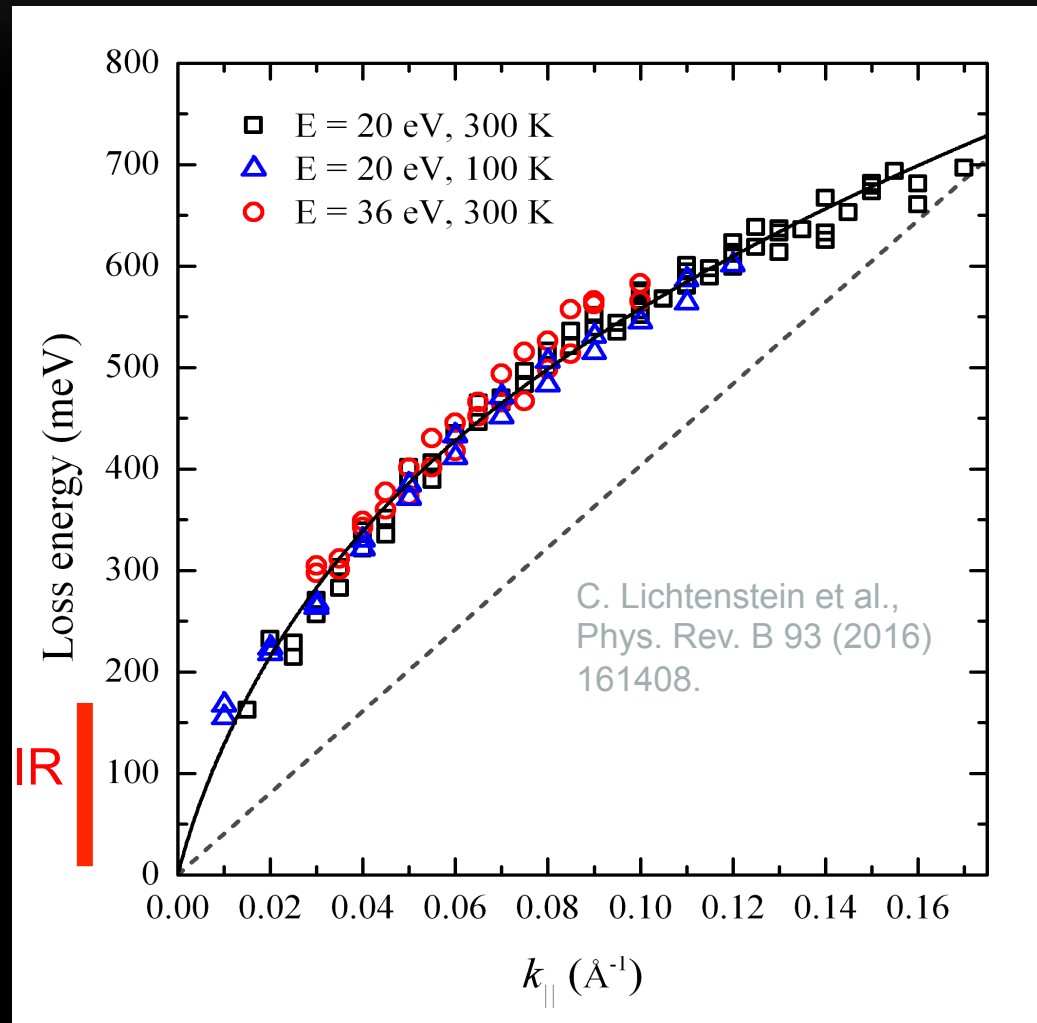
B. Hafke et al., Phys. Rev. B 94 (2016) 161403.



I. Song et al., ACS Nano 9 (2015) 10621.

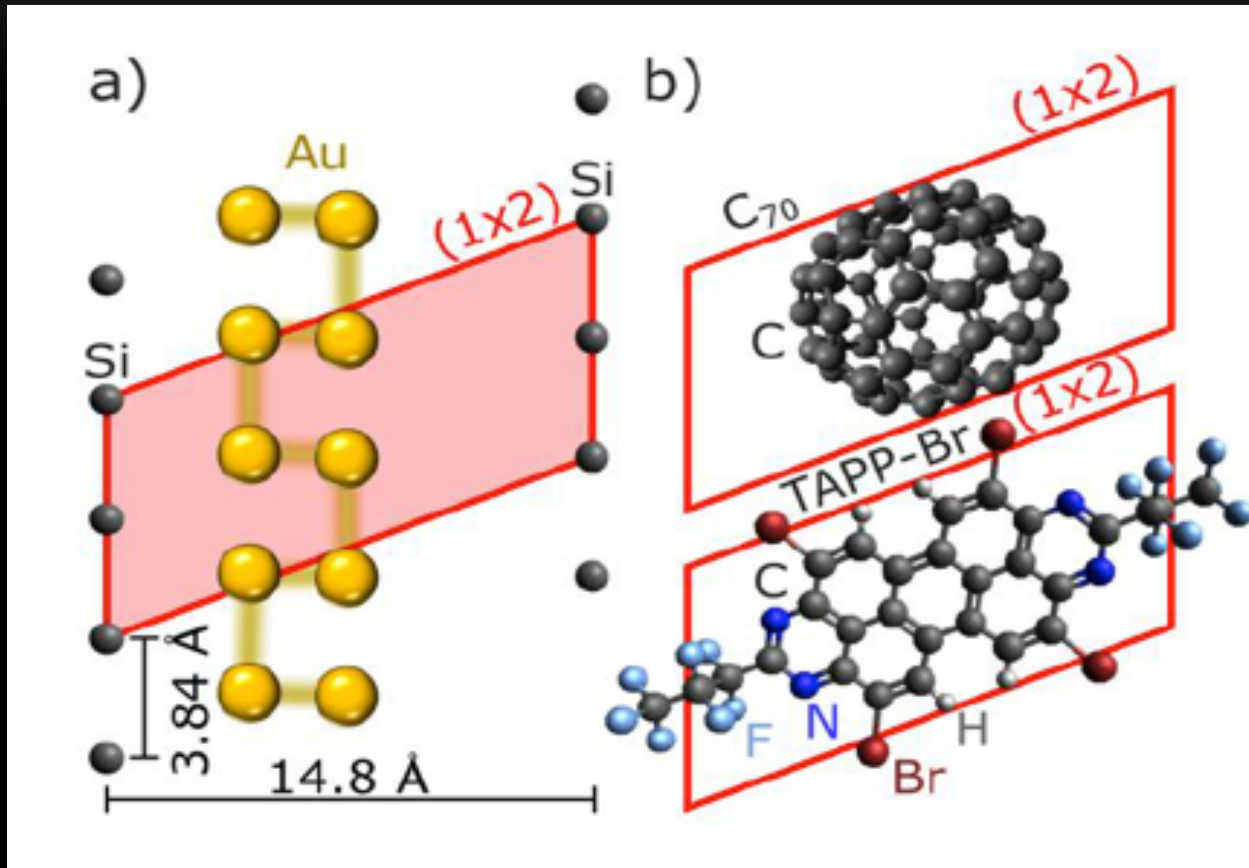
PLASMON DISPERSION OF AU CHAINS ON SI(553)

Dispersion from EELS, far below the light line



Adsorbate molecules on Au chains on Si(553)

The primitive unit cell in comparison to the adsorbate molecules



1 ML ca.
1 molecule per unit
cell

TAPP-Br (2,9 Bis
(heptafluoropropyl) 4,7,11,14
tetrabromo 1,3,8,10
tetraazaperopyrene) is a N-
heteropolycycle,
see Geib et al., *Adv. Funct. Mater.*
2013, 23, 3866.
The molecules seem to be
promising for the usage as organic
n-channel semiconducting materials
(as C₇₀).

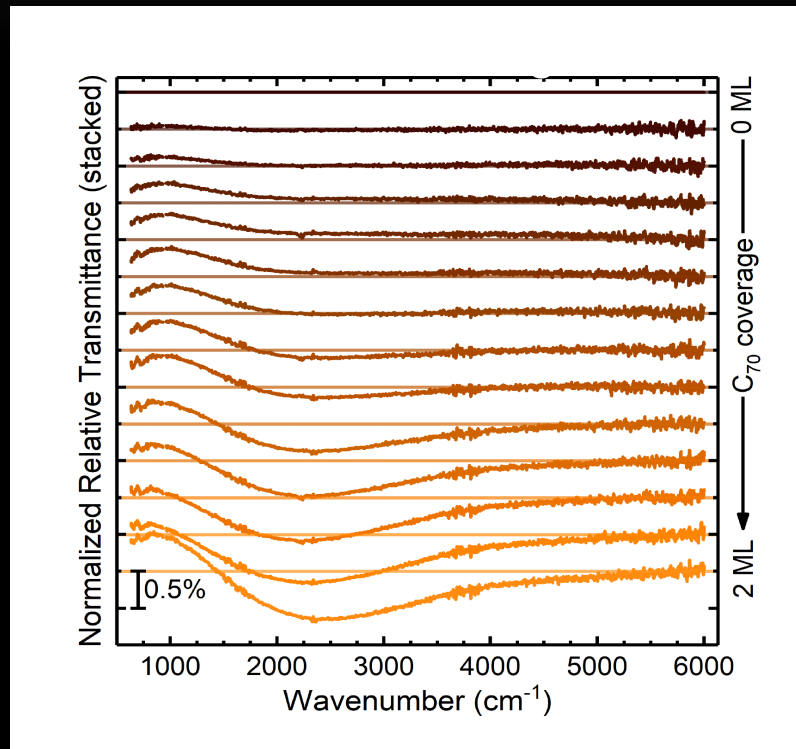
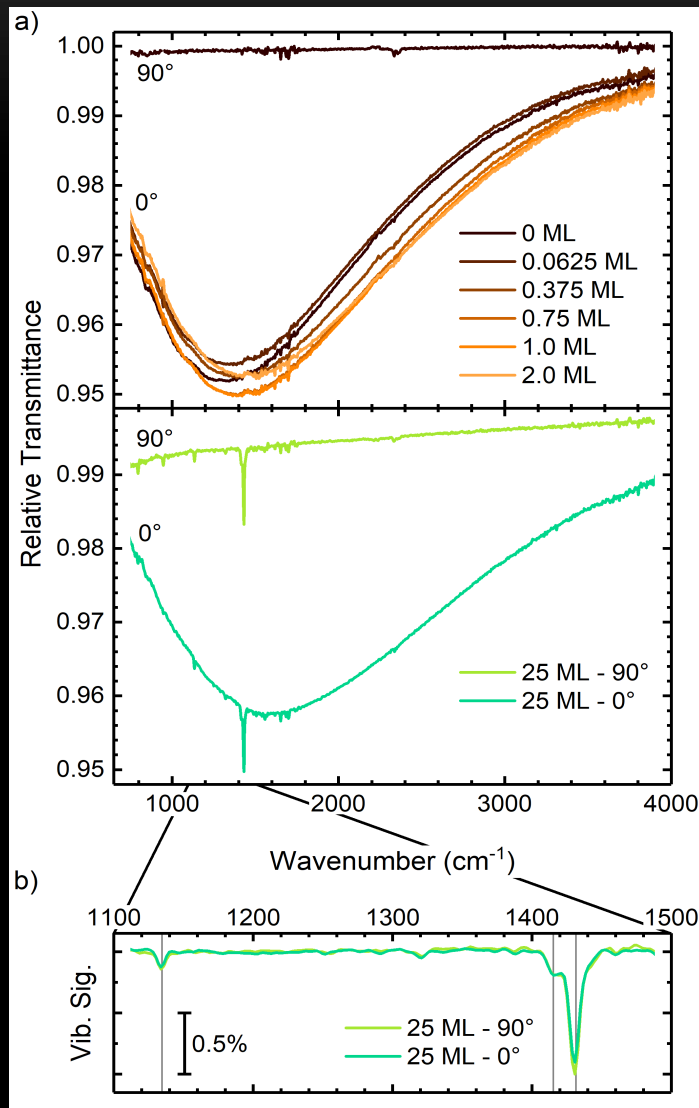
B. Hafke et al., *Phys.Rev.B* 94,
161403(R) (2016).

M. Tzschoppe et al., *Surface Science* 2018,
doi.org/10.1016/j.susc.2018.02.007;

M. Tzschoppe et al., *J.Physics: Condensed Matter*, 31 (2019)
195001.

C₇₀ ON AU CHAINS ON SI(553), IR SPECTRA

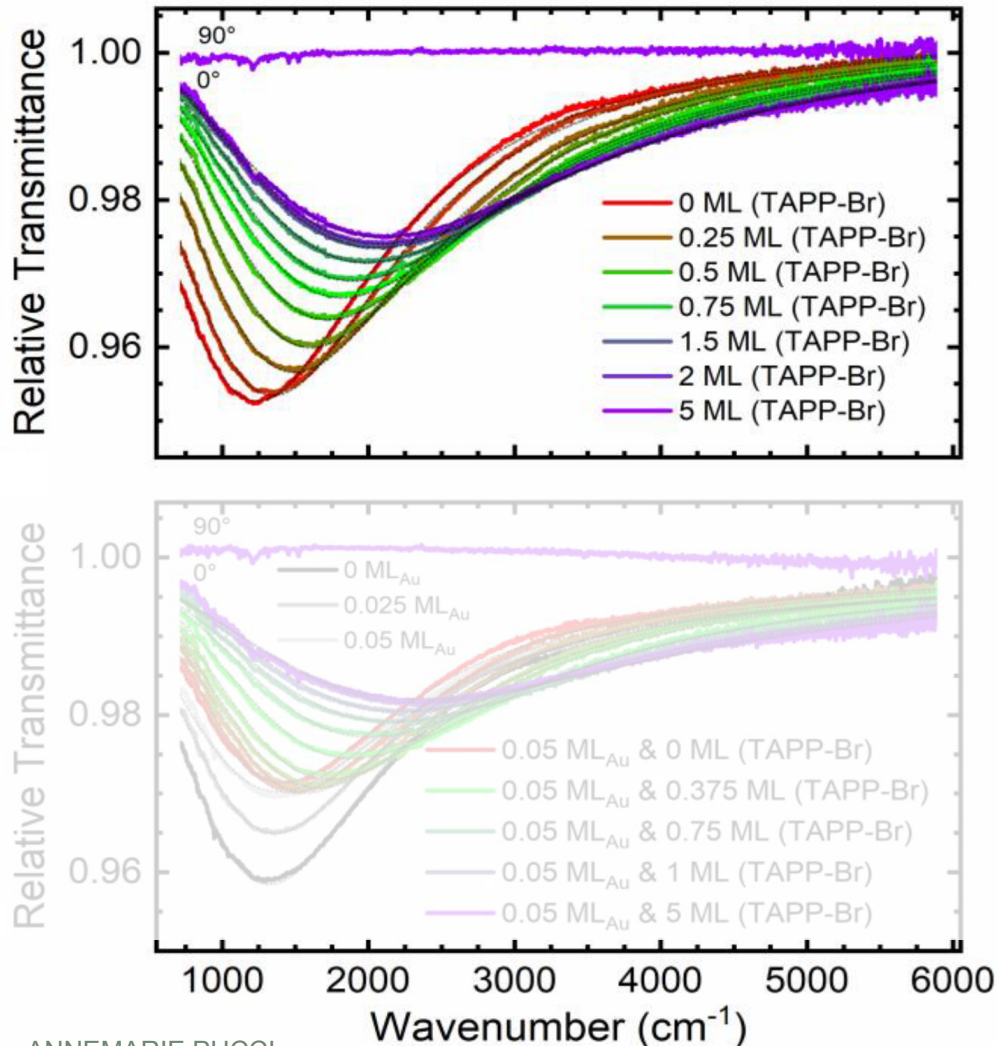
AT 300 K



M. Tzschoppe et al., Surface Science 2018,
doi.org/10.1016/j.susc.2018.02.007

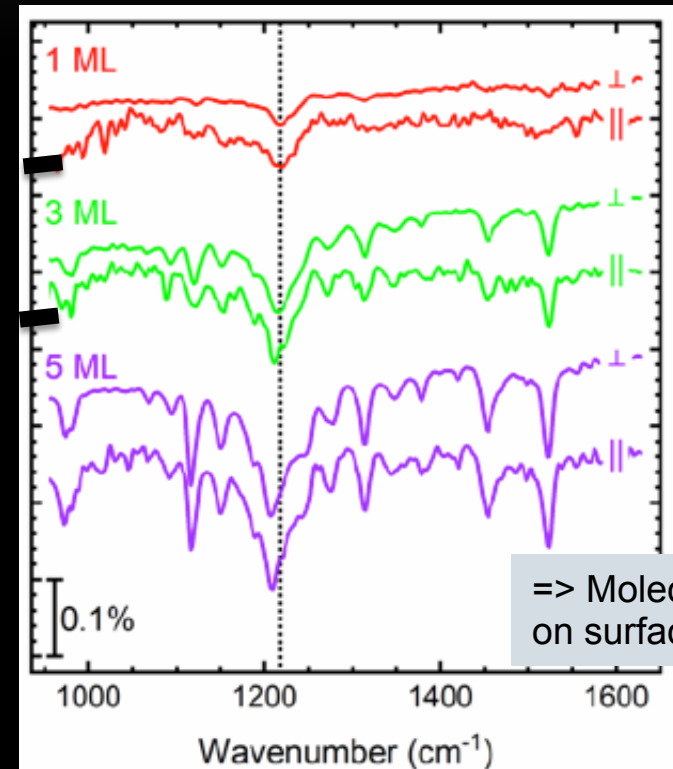
TAPP-Br on Si(553)-Au, IR spectra, 300 K

Spectra for various TAPP-Br coverage



Zoom to vibrational lines*, background corrected spectra

*L. Hahn et al., Chem. Eur. J. 2015, 21, 17691.

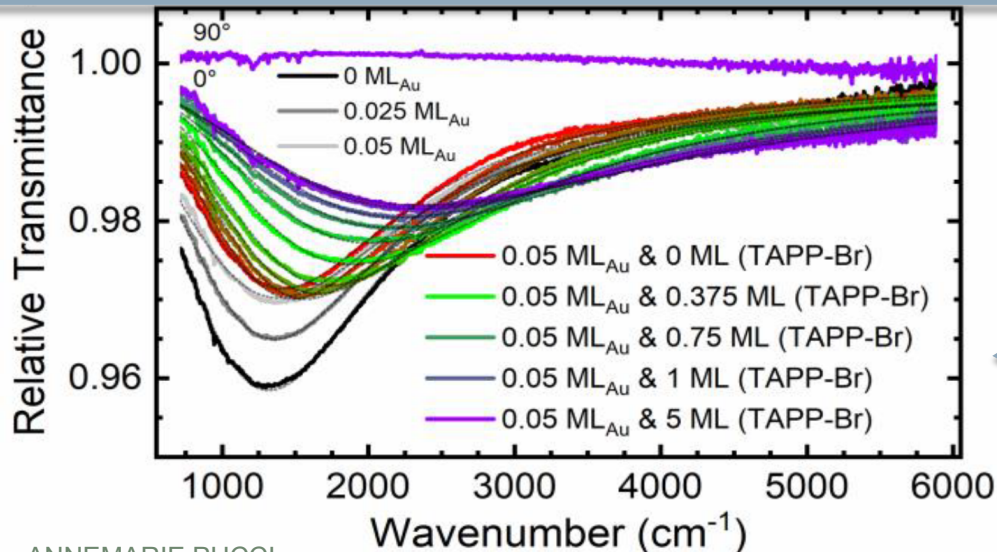
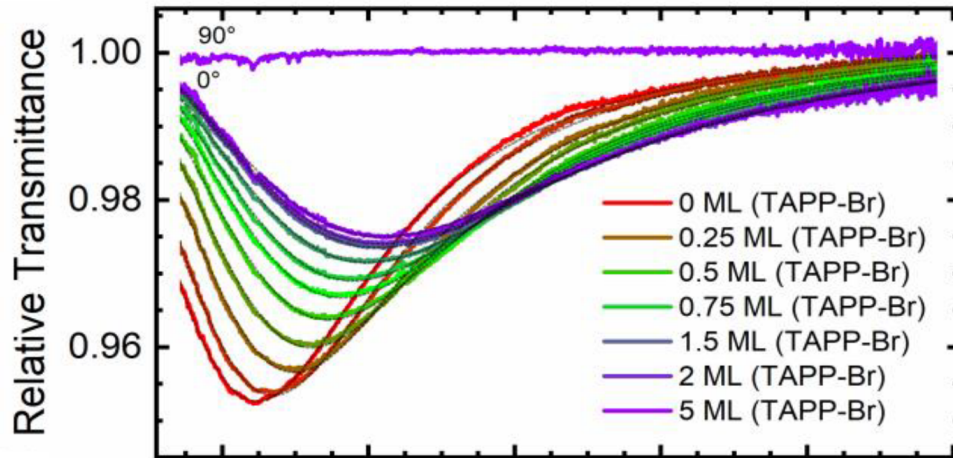


=> Molecules flat on surface

M. Tzschope et al., J.Physics: Condensed Matter, 31 (2019) 195001

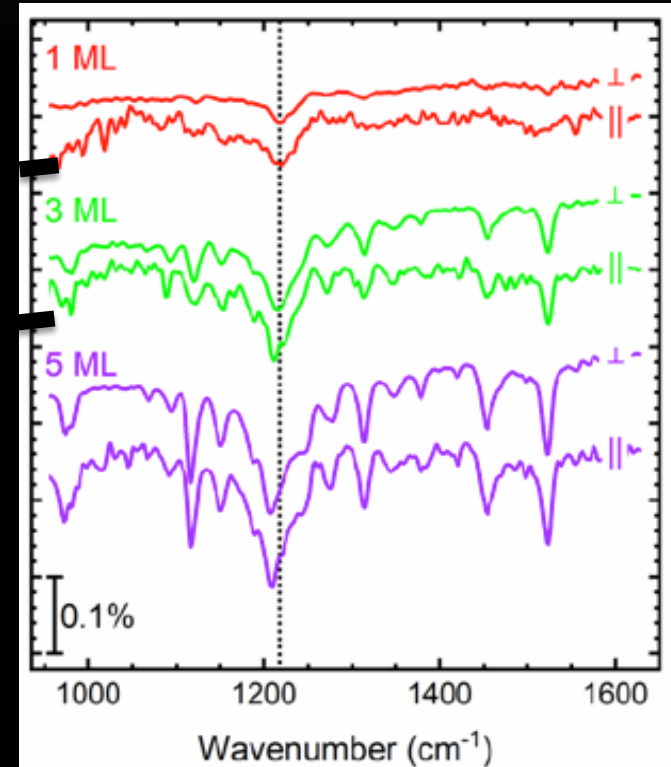
TAPP-Br on Si(553)-Au, IR spectra, 300 K

Spectra for various TAPP-Br coverage



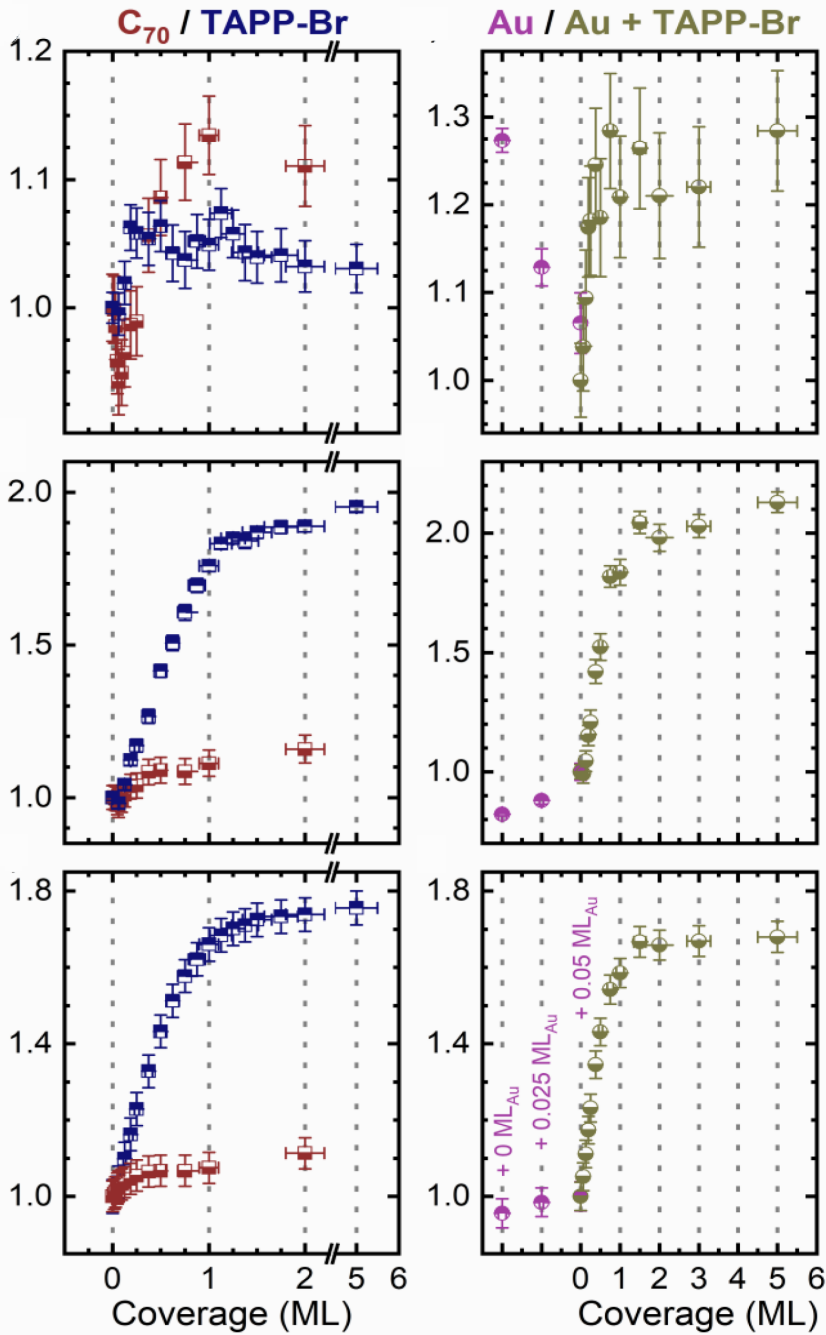
Zoom to vibrational lines*, background corrected spectra

*L. Hahn et al., Chem. Eur. J. 2015, 21, 17691.



← with 0.05 ML Au pre-coverage (electron doping,

Fit results



← relative change of $\frac{n_{1D}}{m^*}$

=> Weak conductivity increase for C_{70} (due to electron extraction), negligible CT for TAPP-Br

← relative change of ω_τ

=> typical „surface friction” effect for C_{70} , extreme increase of electronic damping with TAPP-Br, **not seen with TAPP-H**

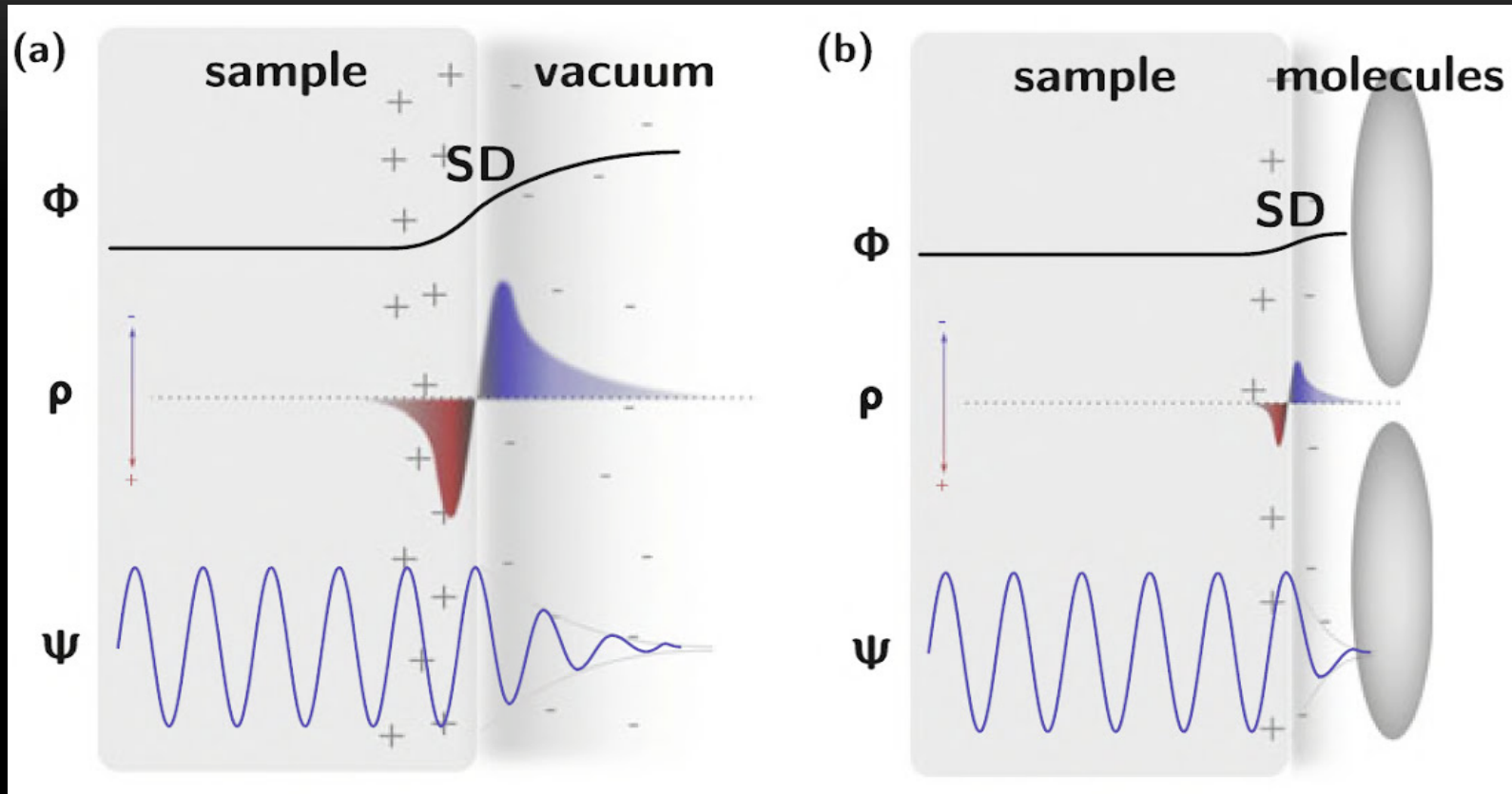
rel. change of

←

$$\omega_{res}(L) \propto \sqrt{\frac{1}{\epsilon_{effective}} \cdot \ln\left(\frac{L}{\pi b}\right)}$$

=> Small increase for C_{70} due to increase in n_{1D}/m^* , TAPP-Br: Decrease of b => **push back effect** (lowers work function)

The pillow (cushion, push back) effect



The spill out of the electronic wave function (a) is changed by the **physisorbed** molecules (b). This effect can be considered as a change of the surface dipole.

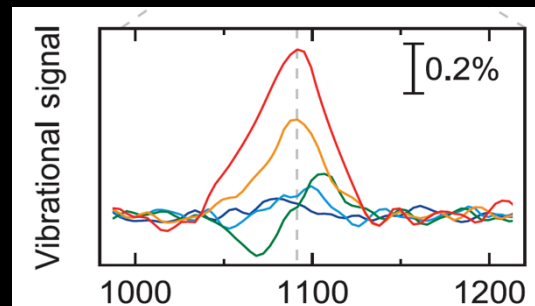
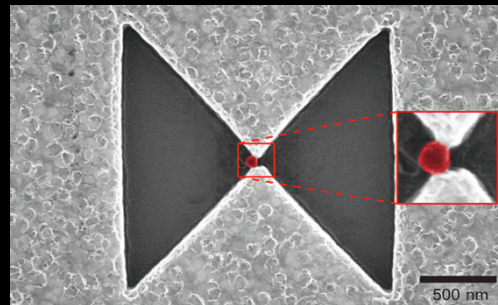
R. Schlesinger, Energy-Level Control at Hybrid Inorganic/Organic Semiconductor Interfaces, Springer Theses 2017.

SUMMARY

- Infrared plasmonics and material quality :

$$\omega_{\text{rad}} = \omega_{\tau}$$

- SEIRA of a single dust nanoparticle



- Low-dimensional plasmonic structures... for adsorption studies:
Charge transfer, resistivity change, push back effect

Acknowledgement

Dr. S. Beck, M. Tzschoppe, C. Ulrich, Dr. C. Huck, Dr. J. Vogt, P. Krebsbach, S. Hillebrandt, V. Rohnacher, Dr. M. Sendner



Mai 2017



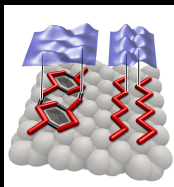
DEPARTMENT OF
PHYSICS AND
ASTRONOMY

ANNEMARIE PUCCI



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